

Basic Crystallography

Mander 1-2

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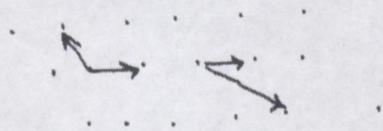
[ASM ch. 4-7]

Real crystals are of finite size, but it is a good approximation to view them as infinite periodic solids. Remember, however, that this is only a convenient mathematical abstraction.

* Bravais lattices: a set of points \vec{R} such that

$$\vec{R} = \vec{a}_1 n_1 + \vec{a}_2 n_2 + \vec{a}_3 n_3 \quad \text{where } \{n_i\} \text{ are integers}$$

The Bravais lattice is not unique:



These two will give you identical pictures!!!

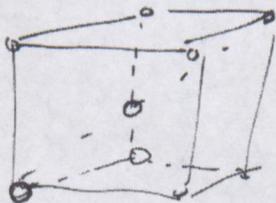
examples:

(fcc) $\vec{a}_1 = \frac{a}{2} (\hat{y} + \hat{z} - \hat{x})$

$\vec{a}_2 = \frac{a}{2} (\hat{z} + \hat{x} - \hat{y})$

$\vec{a}_3 = \frac{a}{2} (\hat{x} + \hat{y} - \hat{z})$

Fe
Mn
Cs



M. von Laue 1912

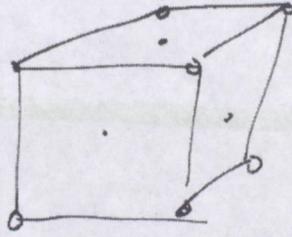
W.L. Bragg 1913

August Bravais (1811-1863)

in 1845 → 14 lattices

* Auguste Bravais 1811-1863

(fcc)



$$\frac{A_2}{R_h} \quad \frac{P_2}{\underline{\quad}}$$

$$\frac{R_h}{\underline{\quad}}$$

$$\frac{R_r}{\underline{\quad}}$$

$$\vec{a}_1 = \frac{a}{2} (\hat{y} + \hat{z})$$

$$\vec{a}_2 = \frac{a}{2} (\hat{x} + \hat{z})$$

$$\vec{a}_3 = \frac{a}{2} (\hat{x} + \hat{y})$$

some useful facts:

Coordination number

$$z_{sc} = 6$$

$$z_{bcc} = 8$$

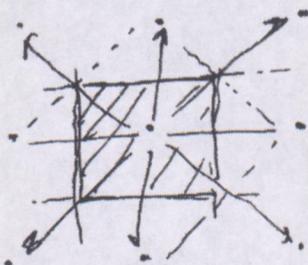
$$z_{fcc} = 12$$

primitive cell : contains only one lattice point

vs

conventional cell

Wigner-Seitz cell (Voronoi polyhedron)



the bisection method.

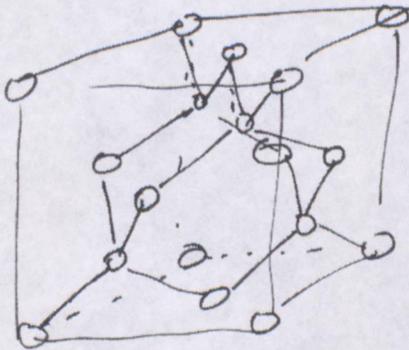
Crystal Structure (CS)

CS is much more than a simple Bravais lattice!!! My favorite example: Si

it is an (fcc) structure (if you can see it!)

$|a| = 5.43 \text{ \AA}$ But where are the atoms??

1. here is a conventional cell point of view:

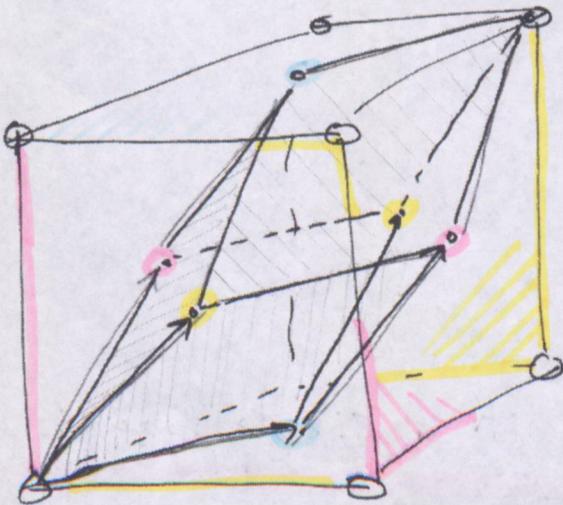


$$\left. \begin{aligned} a_1 &= (a \ 0 \ 0) \\ a_2 &= (0 \ a \ 0) \\ a_3 &= (0 \ 0 \ a) \end{aligned} \right\} \text{lattice}$$

eight atoms in this cell

2. how about primitive?

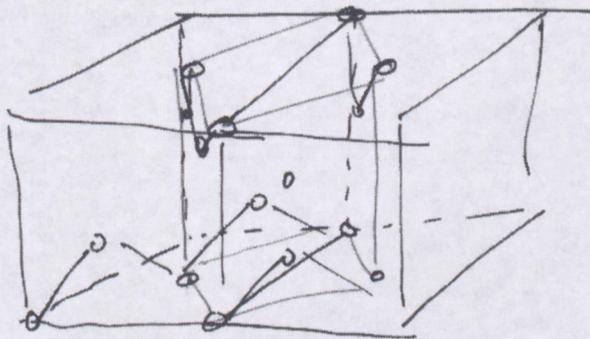
Zinfandel = primitive



$$\left. \begin{aligned} b_1 &= \left(0 \ \frac{a}{2} \ \frac{a}{2} \right) \\ b_2 &= \left(\frac{a}{2} \ 0 \ \frac{a}{2} \right) \\ b_3 &= \left(\frac{a}{2} \ \frac{a}{2} \ 0 \right) \end{aligned} \right\} \text{lattice}$$

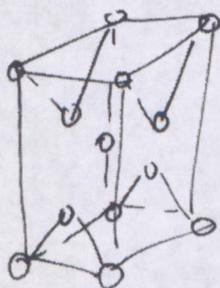
$$\left. \begin{aligned} b_1 &= (0 \ 0 \ 0) \\ b_2 &= \left(\frac{a}{4} \ \frac{a}{4} \ \frac{a}{4} \right) \end{aligned} \right\} \text{basis}$$

3. These two are by no means unique:



(bct)

There is actually a choice.
I can give this cell with respect to a conventional cell or by itself.



$(a \ 0 \ 0)$
 $(0 \ a \ 0)$
 $(0 \ 0 \ c)$ } lattice

$b_1 = 000$
 $b_2 = (\frac{1}{2} \ 0 \ \frac{1}{4})$
 $b_3 = (\frac{1}{2} \ \frac{1}{2} \ \frac{1}{2})$
 $b_4 = (0 \ \frac{1}{2} \ \frac{3}{4})$ } basis

Q: is there any system to all this?

A: Yes, there is!!! I will tell you all about it next time. Stay tuned...

you can't describe \mathcal{L} with a single Bravais lattice.

- (A) 2 Bravais (fcc) lattices (B) A Lattice with a basis

Lecture 2

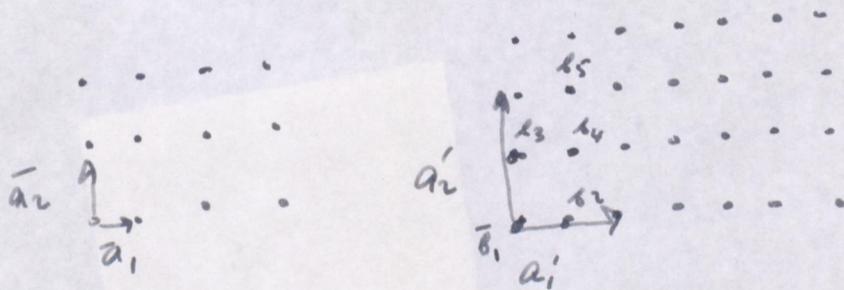
Last time we have introduced the concept of a Bravais lattice:

$$\vec{R} = \vec{a}_1 n_1 + \vec{a}_2 n_2 + \vec{a}_3 n_3$$

and considered some examples, such as bcc, fcc etc. Simple metals crystallize in such structures.

We have also introduced a concept of a lattice with a basis. This is when in addition to a simple Bravais lattice you specify atomic coordinates (basis) in each cell.

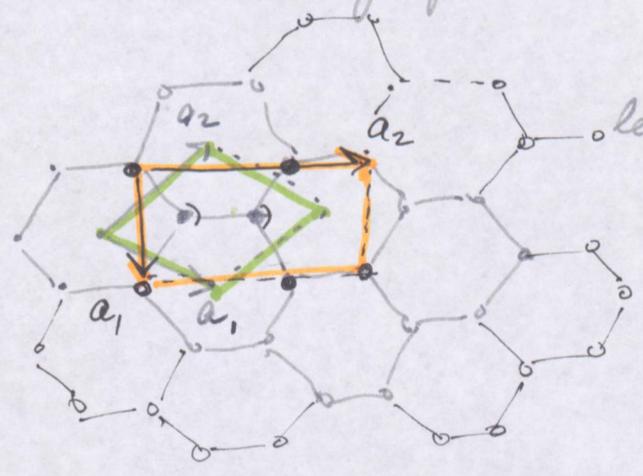
Clearly, you can always go from a simple Bravais to a lattice with the basis:



however, you can't always go in the other direction. For example, even a primitive cell of Si contains 2 atoms!

The choice of a lattice is not unique.

consider graphene:



let's discuss this two choices.

one cell has 2 atoms in the basis and the other has 4!

Q: why do you need any of that?

one reason is to find electron density!

what is the density of valence charge in Si?

$$\frac{1}{4} (5.43 \text{ \AA})^3 = 40.0257 \text{ \AA}^3 \quad 8 \text{ electrons.}$$

ERROR (My Todd!)

about $0.2 \frac{e}{\text{\AA}^3} \quad a = 10^{-8} \text{ cm}$

$$\boxed{2 \times 10^{23} \text{ e/cm}^3} \quad \dots$$

$$r_s = \left(\frac{3}{4\pi n} \right)^{1/3} \quad r_s \sim \frac{1.06}{7.8} \times 10^{-9} \text{ or } \underline{0.13 \text{ \AA}}$$

$$n \approx 1.8 \times 10^{23} \frac{e}{\text{cm}^3} \quad V_s = 1.16 \text{ \AA}$$

$$k_f = 1.75 \times 10^8 \text{ cm}^{-1} \quad \boxed{k_f = \frac{1.72}{r_s}}$$

Lecture #6

The last time we introduced a concept of a lattice with a basis. The example was silicon which we described with a (i) simple cubic, (ii) fcc, or (iii) bcc cell.

Though describing the exact same crystal structure cells look quite different.

What are the underlying principles of building these structures:

(i) 7 crystal classes (or systems)

(ii) 14 Bravais lattices

(iii) 32 point groups \rightarrow example



Continuous group.
 \downarrow

$SO(3)$



Oh
48 ops.

230 so called space groups.

Crystallographers use notations like

$Fd\bar{3}m$ or $I\bar{4}12$ \leftarrow what do they mean?

Special unitary matrix 3 order.

$$\det = 1, \quad R^T R = \mathbb{1}$$

$SO(3)$ - Rotation group

$$R_z = \begin{pmatrix} \cos\phi & -\sin\phi & 0 \\ \sin\phi & \cos\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$R(\phi, \hat{n})$

There are seven crystal systems/classes

1. triclinic

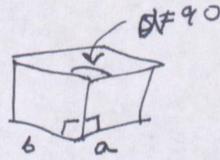
$$a \neq b \neq c \neq 90^\circ$$

Bravais lattice
P (primitive)

2. monoclinic

$$a \neq b \neq c$$

$$\alpha = \gamma = 90^\circ \neq \beta$$



P, C ((fcc) - one face)

3. orthorhombic

$$a \neq b \neq c$$

P, C, I, F

4. hexagonal

$$(a, c) \quad a = b \neq c$$

$$\alpha = \beta = 90^\circ \neq \gamma = 120^\circ$$

P

} often lumped together.

5. trigonal (rhombohedral)

$$a = b = c$$

$$\alpha = \beta = \gamma \neq 90^\circ$$

R

6. tetragonal

$$a = b \neq c$$

$$\alpha = \beta = \gamma = 90^\circ$$

P

I

7. cubic

P

I

F

14 Bravais lattices!

If you add 32 crystallographic point groups

you will get 230 unique space groups

If you combine 32 point groups with 14 Bravais lattices you get 73 distinct crystal lattices. They are called symmorphic lattices.

Then there are nonsymmorphic lattices.

These have screw axes and glide planes. (230 all together)

If you add spin it gets even better!!!

Rotation by 2π gives (-1) factor to a spinor!

So you have color or magnetic groups. There

are 1651 of them $\ddot{\text{~}}$

Abstract group theory

A little bit of
the group theory

①

$(A, B, C \dots)$ + multiplication. Γ
 $A \cdot B = C$ (A set plus a binary operation).

* 4 properties to form a group:

1. $\forall A, \forall B \in \Gamma \quad A \cdot B \in \Gamma$ — closed set under the group multiplication.
2. $A(BC) = (AB)C$ associativity law
3. there is $E \in \Gamma$ such as $AE = EA = A$ (IDENTITY)
4. A^{-1} exists such that $A^{-1}A = AA^{-1} = E$ (INVERSE)

finite groups, n elements \leftarrow order n .

$AB = BA \leftarrow$ Abelian group.

EXAMPLES: all (integers + \emptyset) and addition.
group multiplication.

$-n \equiv n^{-1}, 0 \equiv E$. (Abelian group.)

Cyclic groups

$x, x^2, x^3 \dots x^n = E$

n -period cyclic group.

* COMPARE TO A VECTOR SPACE:

1. $V \quad x, y \quad x+y \in V$

2. $x+y = y+x$

3. $x+(y+z) = (x+y)+z$

4. $0: x+0 = x$

+ multiplications by scalars $\alpha \in V$

$\alpha(\beta x) = (\alpha\beta)x$

$\forall x: 1x = x$

$\alpha(x+y) = \alpha x + \alpha y$

$(\alpha+\beta)x = \alpha x + \beta x$

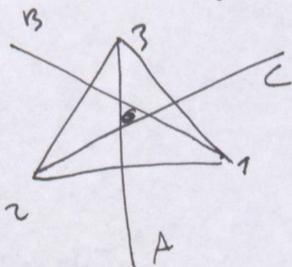
Simple non-Abelian group (matrix multiplication)

(2)

$E = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ $A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ $B = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}$

$C = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & \frac{1}{2} \end{pmatrix}$ $D = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$ $F = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$

A GROUP OF A TRIANGLE



A, B, C - π rotations?
 D: clockwise $\frac{2\pi}{3}$
 F: counter-clockwise $\frac{2\pi}{3}$

class

	E	A	B	C	D	F
E	E	A	B	C	D	F
A	A	E	F	D	B	C
B	B	F	E	D	A	C
C	C	D	F	E	A	B
D	D	C	A	B	F	E
F	F	B	C	A	E	D

Multiplication table

For any group element, for specificity,

take D, $D^2 = F$, $D^3 = DF = E$

subgroup:

$DFE \leftarrow$ cyclic subgroup. \equiv a subset forming a group

All cyclic groups are Abelian!
 (can you prove that?)

$FD = E$

Conjugate element

$B = XAX^{-1}$ B is conjugate to A.

if C is conjugate to A, then C is conjugate to B!

you can define classes: E, A_1, A_2, \dots

a class is a collection of mutually conjugate elements.

in our example we get 3 classes.

In Abelian group each element is in a class by itself.

$XAX^{-1} = A$ for matrices all elements of a class have the same trace.

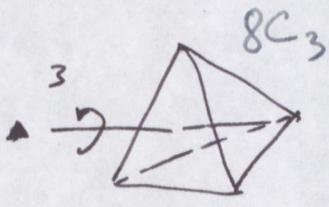
What's a point group?

a set of symmetry operations like rotations or reflections that leave a point fixed while moving every atom into an equivalent site.

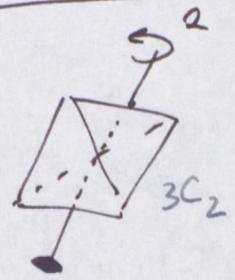
EXAMPLE

Consider $T(T_{d/h})$ the symmetry group of the regular tetrahedron.

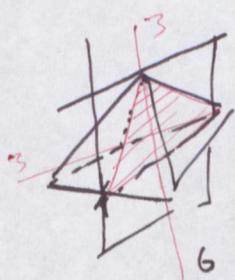
T has 12 operations



4 of these (8 including \pm)



3 of these



6 of these

$T_d = \bar{4}3m$

CH4 molecule

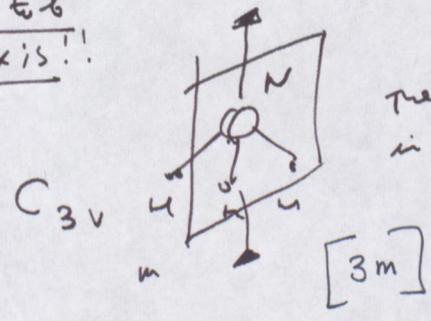
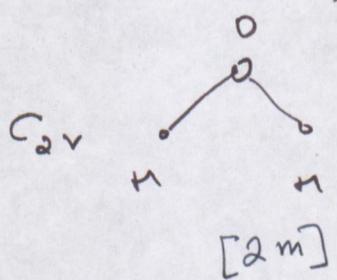
There are 24 operations total! in T_d !

NB identity!

$8 + 3 + 1 = 12$

$\bar{4}$ - what is this?

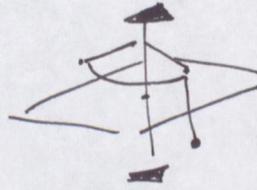
They used to be just 2 axis!!



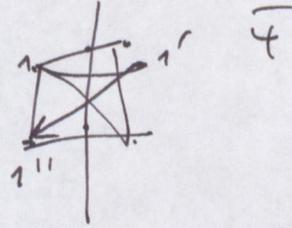
The axis is contained in the mirror plane.

OK. There are 32 of these point groups!

- simple rotation axes
- rotation - reflections



- rotation inversion



- reflections m .

- inversion $\vec{r} \rightarrow -\vec{r}$

NOMENCLATURE

There are 2 point-group nomenclatures:

Schoenflies and international (aka Hermann-Mauguin)

C_{4h}

$4/m$

~ 1890 20 years before Bragg's!

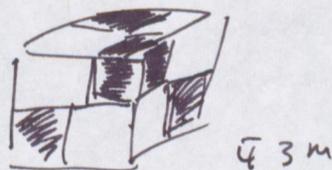
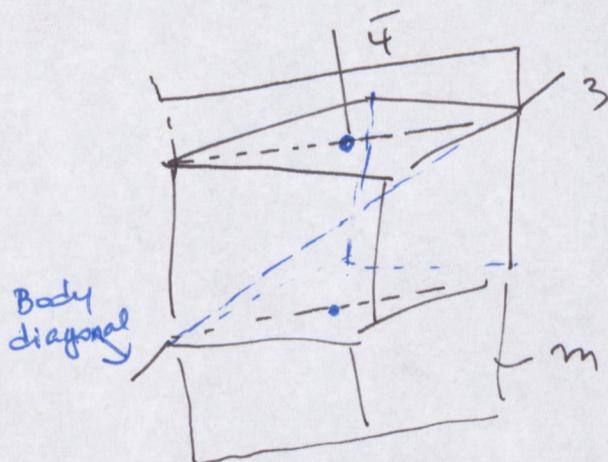
Table I

how to read:

triclinic	whatever you have!		
monoclinic	2 C_2 or a plane \perp to it		
orthorhombic	plane \perp x	plane \perp y	plane \perp z
trigonal & hexagonal tetragonal	highest axis + a plane \perp to it	coord. plane or axis	diagonal plane or axis
cubic	Coord. plane or axis	3	diagonal plane or axis.

example 1:

$\bar{4} 3 m$ obviously cubic!



(T_d)

$\frac{4}{m} \bar{3} \frac{2}{3} \rightarrow$ full cubic (O_h)

enumeration : (number of point groups) \times (# Bravais)

e.g. cubic $5 \times 3 = 15$

you will get 61 total, not 230!!!

have I lied to you? No, I just have not told you about all elements yet!

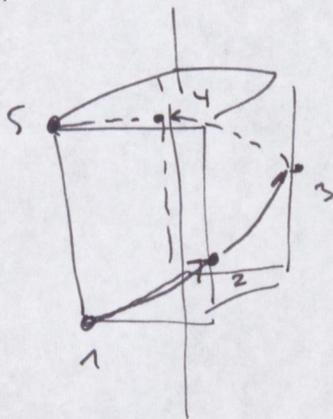
These groups are called symmorphic, but

there are also non-symmorphic groups.

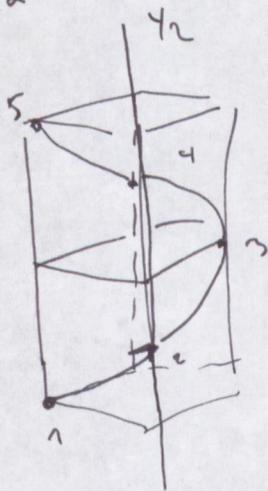
need screw axes and glide planes!

EXAMPLES:

1. 4_1 rotation + translation
 $\frac{1}{4}$ is the Δ :



2. 4_2 is taking you outside of the cell $\Delta = \frac{2}{4} = \frac{1}{2}$



3. glide planes

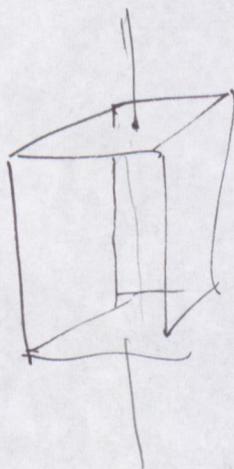
1. axial glides:



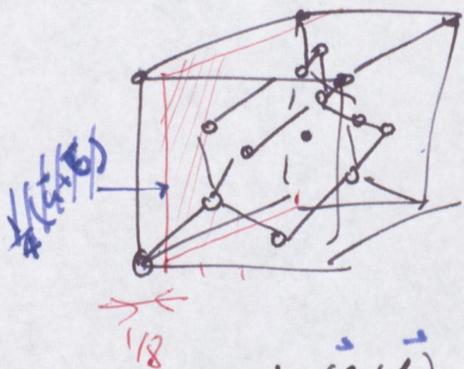
2. diagonal glides:
 translate by $\frac{a}{2}$

$(n) \frac{\vec{a} + \vec{c}}{2}$ or $\frac{\vec{a} + \vec{c}}{2}$

4_3



3. d special case - diamond glide.



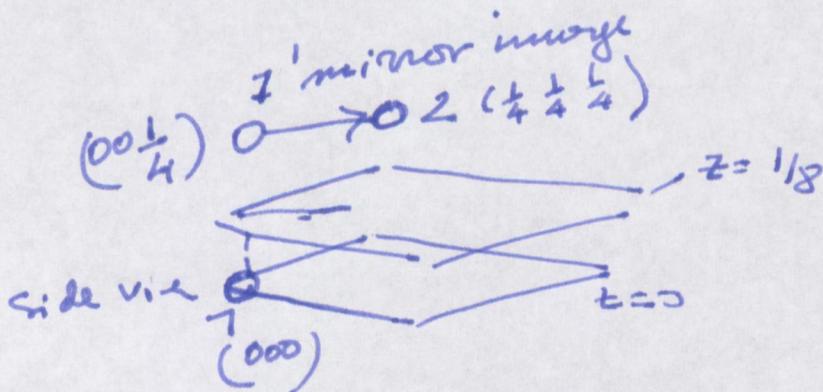
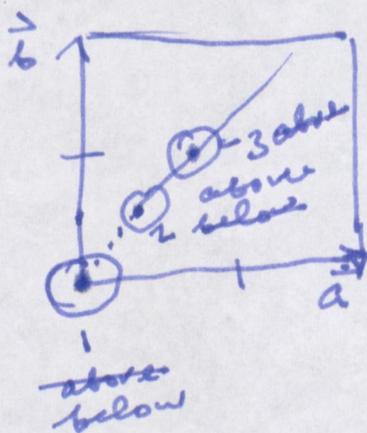
d planes occur only in fcc!

$$\frac{1}{4}(\vec{a} + \vec{b}), \frac{1}{4}(\vec{b} + \vec{c}) \text{ or } \frac{1}{4}(\vec{a} + \vec{c})$$

The full name of the space group is the Bravais lattice + the point group.

$I\bar{4}2d$ $Fm\bar{3}m$ and so on.

top view of a $d = \frac{1}{4}(a+b)$ plane:



EXAMPLES OF SPACE GROUPS: (use Table I, p.4)

$Pnma$ $P \equiv$ primitive! That can be any class!!
 n - glide plane \perp x axis. All 3 entries, so it is not triclinic, not monoclinic.
 m - mirror \perp y axis. not cubic either!!!
 a - axial glide \perp z axis
 1st entry is a plane!!! { not hex not tetrag. \Rightarrow it is orthorhombic!!!