

Let's take a step back!

What would a chemist do?

↙ This allows me to avoid the second quantization.

H₂ minimal bases. Molecular orbitals!

$$\psi_1 = \frac{1}{\sqrt{2(1+S)}} (\phi_1 + \phi_2)$$

$$\psi_2 = \frac{1}{\sqrt{2(1-S)}} (\phi_1 - \phi_2)$$

} LCAO is a sensible choice of a single-particle basis.

α, β : spin functions $(\uparrow), (\downarrow)$.

Spin orbitals

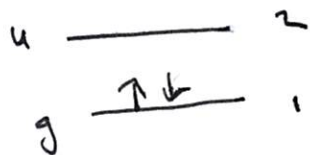
$$\chi_1(x) = \psi_1(r) \alpha(\omega) \quad \chi_2(x) = \psi_1(r) \beta(\omega)$$

$$\chi_3(x) = \psi_2(r) \alpha(\omega) \quad \chi_4(x) = \psi_2(r) \beta(\omega)$$

4 orbitals, 2 electrons (how many ways to fill? Six!)

HF ground state: $| \psi_0 \rangle = | \chi_1 \chi_2 \rangle$

①

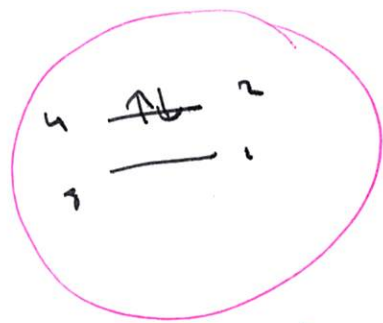
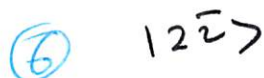


111 notation

Singly excited determinant:



Doubly excited determinant:



the only even!!!
[besides the ground state]

The Hilbert space is $N=6$!

! Show that $\frac{1}{\sqrt{2}}$ is a unit

(a) $\frac{1}{\sqrt{2}}$ is a unit in $\mathbb{Z}[\sqrt{2}]$

(b) $\frac{1}{\sqrt{2}}$ is not a unit in $\mathbb{Z}[\sqrt{2}]$

Let $\alpha = a + b\sqrt{2} \in \mathbb{Z}[\sqrt{2}]$. Then α is a unit iff $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.

$$(a + b\sqrt{2})(c + d\sqrt{2}) = 1 \implies (ac + 2bd) + (ad + bc)\sqrt{2} = 1$$

$$\implies \begin{cases} ac + 2bd = 1 \\ ad + bc = 0 \end{cases}$$

Suppose α is a unit. Then $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.
(i) $\alpha = 1$
(ii) $\alpha = -1$

$$(1)(1) = 1 \implies \alpha = 1 \text{ is a unit.}$$

$$(-1)(-1) = 1 \implies \alpha = -1 \text{ is a unit.}$$

Let $\alpha = a + b\sqrt{2}$ be a unit. Then $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.
Then α is a unit in $\mathbb{Z}[\sqrt{2}]$ iff $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.

$$\alpha = a + b\sqrt{2} \implies \alpha\beta = 1 \implies \alpha \text{ is a unit in } \mathbb{Z}[\sqrt{2}]$$

Let $\alpha = a + b\sqrt{2}$ be a unit. Then $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.

$$\alpha = a + b\sqrt{2} \implies \alpha\beta = 1 \implies \alpha \text{ is a unit in } \mathbb{Z}[\sqrt{2}]$$

$$\alpha = a + b\sqrt{2} \implies \alpha\beta = 1 \implies \alpha \text{ is a unit in } \mathbb{Z}[\sqrt{2}]$$

Let $\alpha = a + b\sqrt{2}$ be a unit. Then $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.

$$\alpha = a + b\sqrt{2}$$

Let $\alpha = a + b\sqrt{2}$ be a unit. Then $\alpha\beta = 1$ for some $\beta \in \mathbb{Z}[\sqrt{2}]$.

The exact ground state should be g-state!

$$|\phi_0\rangle = c_0 |\phi_0\rangle + c_{2\bar{2}} |2\bar{2}\rangle \quad (12\bar{2}) = |x_3 x_4\rangle$$

$u \cdot u = \text{even}$
 $g \cdot g = \text{even}$

The CI matrix is 2×2 :

$$H = \begin{pmatrix} \langle \phi_0 | H | \phi_0 \rangle & \langle \phi_0 | H | 2\bar{2} \rangle \\ \langle 2\bar{2} | H | \phi_0 \rangle & \langle 2\bar{2} | H | 2\bar{2} \rangle \end{pmatrix}$$

The "full CI"!

need matrix elements of $\hat{H}$ on two determinantal functions! This is what we called $2\hat{H}_0$

$$\hat{H} = \hat{h}(1) + \hat{h}(2) + \frac{1}{|r_1 - r_2|}$$

$$\langle i | k \rangle = \iint dx_1 dx_2 \chi_i^*(x_1, x_2) \hat{V}_n \chi_k(x_1, x_2)$$

HF ground state!

$$\hat{H}(CI) = \begin{pmatrix} \langle 1|1|1\rangle + \langle 2|1|2\rangle + \langle 12|12\rangle - \langle 12|21\rangle & \langle 12|34\rangle - \langle 12|43\rangle \\ \langle 34|12\rangle - \langle 34|21\rangle & \langle 3|1|3\rangle + \langle 4|1|4\rangle + \langle 34|34\rangle - \langle 34|43\rangle \end{pmatrix}$$

This is what a chemist calls the Full CI matrix!

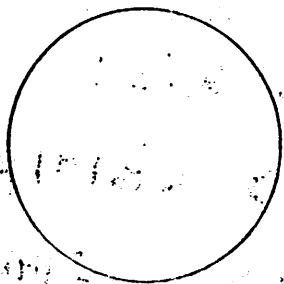
The lowest eigen value should be compared with the HF result:

$$E_{HF} = \langle \phi_0 | H | \phi_0 \rangle = \langle 1|1|1\rangle + \langle 2|1|2\rangle + \langle 12|12\rangle - \langle 12|21\rangle$$

Coulomb integral

$$(x+y) = (x+y)$$

$$(x+y) + (x+y) = (x+y)$$



This is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

Circle is a circle.

If you integrate out spin, the full CI matrix comes out as:

$$H = \begin{pmatrix} 2(1|h|1) + J_{11} & K_{12} \\ (2|h|1) & 2(2|h|2) + J_{22} \end{pmatrix}$$

refer to $\begin{matrix} -2 \\ -1 \end{matrix}$

how do I go from spin orbitals to spatial orbitals?

$$\langle 1|h|1 \rangle = \int \overset{\text{space}}{\psi_1^*(r_1)} \overset{\text{spin}}{\alpha^*(\omega_1)} h(r_1) \psi_1(r_1) \alpha(\omega_1) =$$

$$\equiv (\psi_1|h|\psi_1)$$

$$\langle 2|h|2 \rangle = 1$$

$$\langle 2|h|1 \rangle = 0$$

need the eigen-values, so I J_{11} compute $\det |H - \lambda I| = 0$

$$E_{HF} = 2(1|h|1) + (11|11)$$

on the other hand:
(the secular equation)

$$(2(1|h|1) + J_{11} - \lambda)(2(2|h|2) + J_{22} - \lambda) - |K_{12}|^2 = 0$$

$$4(1|h|1)(2|h|2) + 2(2|h|1)J_{22} - \lambda 2(1|h|2) + 2J_{11}(2|h|2)$$

$$+ J_{11}J_{22} - \lambda J_{11} - \lambda (2(2|h|2) + J_{22}) + \lambda^2 - |K_{12}|^2 = 0$$

$$\lambda^2 - \lambda \left(2(1|h|1) + J_{11} + J_{22} + 2(2|h|2) \right) +$$

$$+ 4(1|h|1)(2|h|2) + 2(1|h|1)J_{22} + 2(2|h|2)J_{11} -$$

$$+ J_{11}J_{22} - |K_{12}|^2 = 0 \quad \text{CI secular equation.}$$

$$\lambda_{\pm} = \frac{2(1|h|1) + J_{11} + 2(2|h|2) + J_{22}}{2} \pm \dots$$

correlation energy is everything beyond exchange:

$$\lambda - E_{\text{HF}} \equiv E_{\text{correlation.}}$$

← mixing in a double excited state at lowered the HF energy.

Question:

Now, can I find a better wavefunction than

$$\psi(1)\psi(2)?$$

Yes I can, I need to solve the Hartree-Fock equations. Let's formulate the HF problem for H_2 with a minimal basis.

Hubbard continued.

Last time, we started with

$$\hat{H}_{\text{Hub}} = -t \sum_{b=\uparrow, \downarrow} (c_{1b}^{\dagger} c_{2b} + c_{2b}^{\dagger} c_{1b}) + U (n_{1\uparrow} n_{1\downarrow} + n_{2\uparrow} n_{2\downarrow})$$

Then we built a Hilbert space of 6 two-electron wave functions:

$$|1010\rangle = c_{1\uparrow}^{\dagger} c_{2\uparrow}^{\dagger} |0\rangle \quad S=1 \quad \boxed{\uparrow \uparrow}$$

$$|0101\rangle = c_{1\downarrow}^{\dagger} c_{2\downarrow}^{\dagger} |0\rangle \quad S=-1 \quad \boxed{\downarrow \downarrow}$$

etc. Note that it is different from what we have done before:

$$|12\rangle = \begin{array}{c} \uparrow \\ \uparrow \end{array} \quad \chi_1 = \psi_1(r) d(w) \quad \chi_2 = \psi_2(r) d(w)$$

These refer to molecular orbitals!!! (chemists like them)
I am now working with atomic orbitals!!!

EXAMPLE:

$$\underbrace{\langle x_1 | \otimes \langle x_2 |}_{\text{2-e Hilbert space}} \underbrace{c_{1\uparrow}^{\dagger} c_{2\downarrow}^{\dagger} |0\rangle}_{\text{2-e Hilbert space}} = \frac{1}{\sqrt{2}} \left\{ \psi_1(r_1) d(w_1) \psi_2(r_2) \beta(w_2) - \psi_1(r_2) d(w_2) \psi_2(r_1) \beta(w_1) \right\}$$

$$\text{This state is } \boxed{\uparrow \downarrow}$$

Remember:

A wavefunction is the inner product of your ket and a bra based on the appropriate ket of position operators.

James H. ...

...

...

...

...

...

...

...

...

...

...

...

...

Take kinetic energy:

$$-t (c_{1\uparrow}^\dagger c_{2\uparrow} + c_{1\downarrow}^\dagger c_{2\downarrow} + c_{2\uparrow}^\dagger c_{1\uparrow} + c_{2\downarrow}^\dagger c_{1\downarrow})$$

and use it on $c_{1\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle$: $\boxed{\uparrow \downarrow}$

$$\begin{aligned} c_{1\uparrow}^\dagger c_{2\uparrow} c_{1\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle &= -c_{1\uparrow}^\dagger c_{1\uparrow} c_{2\uparrow} c_{2\downarrow}^\dagger |0\rangle = \\ &= c_{1\uparrow}^\dagger c_{1\uparrow} c_{2\downarrow}^\dagger c_{2\uparrow} |0\rangle = 0 \end{aligned}$$

$\emptyset$

$$c_{1\downarrow}^\dagger c_{2\downarrow} c_{1\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle = c_{1\uparrow}^\dagger c_{1\downarrow}^\dagger |0\rangle \quad \boxed{\uparrow \downarrow}$$

$$\begin{aligned} c_{2\uparrow}^\dagger c_{1\uparrow} c_{1\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle &= c_{2\uparrow}^\dagger (1 - c_{1\uparrow}^\dagger c_{1\uparrow}) c_{2\downarrow}^\dagger |0\rangle = \\ &= c_{2\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle \Rightarrow \boxed{- \uparrow \downarrow} \end{aligned}$$

$$c_{2\downarrow}^\dagger c_{1\uparrow} c_{1\uparrow}^\dagger c_{2\downarrow}^\dagger |0\rangle = 0$$

This says that we can have non-zero contributions

$$\begin{aligned} \langle 0011 | KE | 1001 \rangle &= -t \\ \langle 1100 | KE | 100 \rangle &= -t \end{aligned}$$

Generalization of the
theorem of the previous page

$$(u_1, \dots, u_n) = (u_1, \dots, u_{n-1}, u_n) = (u_1, \dots, u_{n-1}, u_n) = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$= \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$= \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

Generalization of the theorem of the previous page: Now let us have a set of

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

$$[u_1, \dots, u_n] = \langle u_1, \dots, u_n \rangle = \langle u_1, \dots, u_n \rangle = \dots$$

clear way instead of page 6!!

Let me spell it out:

$$\hat{H} = -t \left(C_{1\uparrow}^\dagger C_{2\uparrow} + C_{2\uparrow}^\dagger C_{1\uparrow} + C_{1\downarrow}^\dagger C_{2\downarrow} + C_{2\downarrow}^\dagger C_{1\downarrow} \right) + U \hat{n}_{1\uparrow} \hat{n}_{1\downarrow} + U \hat{n}_{2\uparrow} \hat{n}_{2\downarrow} + \underbrace{2t_0}_{\text{two independent Hydrogen atoms}}$$

I have 2 sites, and two spin states. So I have 6 possible ways to distribute 2 electrons over 4 spin orbitals. $\frac{4!}{2!(4-2)!} = \frac{4 \cdot 3 \cdot 2 \cdot 1}{2 \cdot 1 (2 \cdot 1)} = 6$.

It is convenient to classify them by spin:

$$|1010\rangle \quad S_z = 1$$

$$|0101\rangle \quad S_z = -1$$

$$|0110\rangle \quad \text{and} \quad |1100\rangle \quad \text{all have } S_z = 0$$

$$|1100\rangle$$

$$|1100\rangle \quad |0101\rangle \quad |0110\rangle \quad |1001\rangle \quad |1100\rangle \quad |0011\rangle$$

$ 1100\rangle$	$2E_0$	0	0	0	0	0
$ 0101\rangle$	0	$2E_0$				
$ 0110\rangle$		0	$2E_0$	0	$+t$	$+t$
$ 1001\rangle$			0	$2E_0$	$-t$	$+t$
$ 1100\rangle$		0	$+t$	$-t$	$2E_0 + U$	0
$ 0011\rangle$		0	$+t$	$-t$	0	$2E_0 + U$

Let's take 4×4 and add the first two columns.

This creates a $S_z=0$ component of the triplet!

$$|1001\rangle + |0110\rangle$$

So we will have three states with Energy $2E_0$:

$$\left. \begin{array}{l} |1010\rangle \\ |0101\rangle \\ [|1100\rangle + |0011\rangle] \end{array} \right\} 2E_0 \quad \text{This is a triplet}$$

The ground state is a singlet

$$|GS\rangle = -|1001\rangle + |0110\rangle + \frac{-U + \sqrt{U^2 + 16t^2}}{4t} (|1100\rangle + |0011\rangle)$$

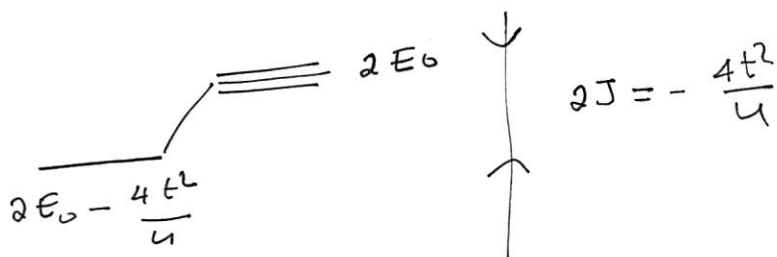
$$E_{gs} = 2E_0 + \frac{U}{2} - \frac{1}{2} \sqrt{U^2 + 16t^2}$$

And you get two high energy states (so the total is 6!).

CASE 1

$$U \gg t$$

$$E_0 \approx 2E_0 + \frac{U}{2} - \frac{U}{2} \sqrt{1 + \left(\frac{4t}{U}\right)^2} \approx 2E_0 - \frac{4t^2}{U}$$



(this is what is called t - J model).

$$|GS\rangle = -|1001\rangle + |0110\rangle + \frac{2t}{U} (|1100\rangle + |0011\rangle)$$

Case 2 $t \gg U$

$$E_0 = 2E_0 + \frac{U}{2} - 2t$$

$$2J = \frac{U}{2} - 2t$$

here the energy is lowered mainly by hopping!
If $U \rightarrow 0$ the single independent electron picture is restored.

we also can do the "Hartree-Fock" on H_{Hub} :

Mean field: $\hat{n} = \hat{n} - \bar{n} + \bar{n}$

number

operator

$$H_{Hub}^{MF} = -t \sum_{i,j} \sum_{b=\uparrow,\downarrow} c_{i,b}^\dagger c_{j,b} + U \sum_i (\bar{n}_{i\uparrow} \hat{n}_{i\downarrow} + \hat{n}_{i\uparrow} \bar{n}_{i\downarrow} - \bar{n}_{i\uparrow} \bar{n}_{i\downarrow})$$

↑ single particle problem!

$$(n_\uparrow - \bar{n}_\uparrow)(n_\downarrow - \bar{n}_\downarrow) \approx 0$$

Let's look at $i=1,2$:

$$U \bar{n}_{1\uparrow} \hat{n}_{1\downarrow} + U \hat{n}_{1\uparrow} \bar{n}_{1\downarrow} + U \bar{n}_{2\uparrow} \hat{n}_{2\downarrow} + U \hat{n}_{2\uparrow} \bar{n}_{2\downarrow} - U \bar{n}_{1\uparrow} \bar{n}_{1\downarrow} - U \bar{n}_{2\uparrow} \bar{n}_{2\downarrow}$$

one particle object

$$\begin{matrix} |10, 00\rangle \\ |01, 00\rangle \\ |00, 10\rangle \\ |00, 01\rangle \end{matrix}$$

$$= c_{1\uparrow}^\dagger |0\rangle$$

A linear combination of those to diagonalize $\hat{H}_{MF}$.

$$H_{MF}(\bar{n}_{1\uparrow}, \bar{n}_{1\downarrow}, \dots)$$

parametric.

$$d_j = \sum_i u_{ij} c_i$$

$$E(\bar{n}_{1\uparrow}, \bar{n}_{2\uparrow}, \bar{n}_{1\downarrow}, \bar{n}_{2\downarrow})$$

For two electrons $\hat{H}_2$

$$\begin{matrix} \text{---} & d_1^\dagger d_2^\dagger |0\rangle \\ \text{---} & d_1^\dagger |0\rangle \\ \text{---} & d_2^\dagger |0\rangle \end{matrix}$$

$$\frac{d_1^\dagger d_2^\dagger |0\rangle}{|GS\rangle} \leftarrow \text{mean field.}$$

$$\langle GS | n_{1\uparrow} | GS \rangle$$

one so are (slater) proba.

4 of them.

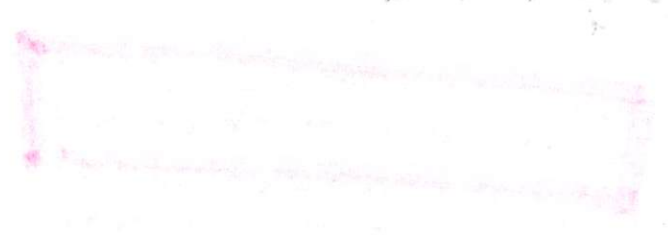
and see if A is the same $\Rightarrow$ SCF answer.

$$\rightarrow E^{MF}(\{\bar{n}_{SCF}\})$$

$$n^{new} = (1-\alpha) n^{old} + \alpha n^{computed}$$

can't be done directly but we can use the
 $\vec{A} = A_x \hat{i} + A_y \hat{j} + A_z \hat{k}$

$(\vec{A} \cdot \vec{B}) = A_x B_x + A_y B_y + A_z B_z$, $\vec{A} \cdot \vec{B} = |\vec{A}| |\vec{B}| \cos \theta$



! direction is same as

Let's look at the dot

$\vec{A} \cdot \vec{B} = A_x B_x + A_y B_y + A_z B_z$

$\vec{A} \cdot \vec{B} = A_x B_x + A_y B_y + A_z B_z$

a vector in the plane of the other two
 is perpendicular to the third
 (0, 0, 1)
 (1, 0, 0)
 (0, 1, 0)
 (0, 0, 0)

$(\vec{A} \cdot \vec{B}, \vec{A} \cdot \vec{C}, \vec{A} \cdot \vec{D})$

the components are

$\vec{A} \cdot \vec{B} = A_x B_x + A_y B_y + A_z B_z$
 $(0, 0, 1) \cdot (1, 0, 0) = 0$
 $(0, 0, 1) \cdot (0, 1, 0) = 0$
 $(0, 0, 1) \cdot (0, 0, 1) = 1$

$\vec{A} \cdot \vec{B} = 0$
 $\vec{A} \cdot \vec{C} = 0$
 $\vec{A} \cdot \vec{D} = 1$

the vector (0, 0, 1) is perpendicular to the plane of the other two

$(\vec{A} \cdot \vec{B})^2 + (\vec{A} \cdot \vec{C})^2 + (\vec{A} \cdot \vec{D})^2 = 1$