



# Rattleback: A model of how geometric singularity induces dynamic chirality



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## ABSTRACT

The rattleback is a boat-shaped top with an asymmetric preference in spin. Its dynamics can be described by nonlinearly coupled pitching, rolling, and spinning modes. The chirality, designed into the body as a skewed mass distribution, manifests itself in the quicker transition of +spin  $\rightarrow$  pitch  $\rightarrow$  -spin than that of -spin  $\rightarrow$  roll  $\rightarrow$  +spin. The curious guiding idea of this work is that we can formulate the dynamics as if a symmetric body were moving in a chiral space. By elucidating the duality of matter and space in the Hamiltonian formalism, we attribute asymmetry to space. The rattleback is shown to live in the space dictated by the Bianchi type  $VI_{h<-1}$  (belonging to class B) algebra; this particular algebra is used here for the first time in a mechanical example. The class B algebra has a singularity that separates the space (Poisson manifold) into asymmetric subspaces, breaking the time-reversal symmetry of nearby orbits.

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## 1. Introduction

The rattleback has amused and bemused people of all walks of life. For this boat-shaped top (Fig. 1) to be a rattleback it is sufficient that inertia and geometry be misaligned—that the axes of the ellipsoid of inertia be skewed with respect to the principal curvature directions of the contact surface. The chirality in motion, one spin more prominent than the opposite spin, points to an effect that may occur in complex dynamical systems. The minimal model that captures this chiral dynamics is the prototypical rattleback system, PRS [1]. We shall see that the non-dissipative version of PRS admits an odd-dimensional, degenerate Hamiltonian formulation. This is puzzling for two reasons. i) A linearized Hamiltonian system has symmetric spectra, so should be time-reversible; yet chiral dynamics is not. ii) PRS has an extra conserved quantity besides energy, which hitherto has received no intuitive interpretation. The key to the puzzles is a peculiar Lie-algebraic structure behind the Hamiltonian formulation, a so-called Bianchi class B algebra.

This paper is organized as follows. After reviewing PRS, we write it as a Hamiltonian system and relate it to the Bianchi class B type  $VI_{h<-1}$  algebra. We visualize the orbits in the phase space, and remark that this algebra has a singularity, which distorts

nearby Casimir leaves. In Darboux coordinates (as canonical as manageable in an odd-dimensional space), the system is revealed to be a 1-dimensional oscillator in disguise, having an asymmetric potential. The asymmetry renders intuitive the rattleback's chiral behavior; geometrically it comes from the distortion of the Casimir leaves, so ultimately from the singularity. All Bianchi class B algebras have singularities, which hint at where we may look for further examples of chiral dynamics.

## 2. Prototypical rattleback system

The PRS equation, without dissipation, is

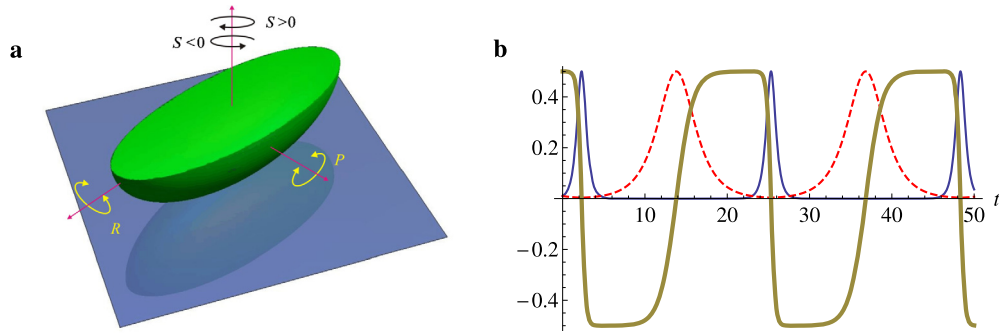
$$\frac{d}{dt} \begin{pmatrix} P \\ R \\ S \end{pmatrix} = \begin{pmatrix} \lambda PS \\ -RS \\ R^2 - \lambda P^2 \end{pmatrix}. \quad (1)$$

Compared with Eq. 5.5 of [1], we adopted a more felicitous notation where  $P, R, S$  stand for the *pitching, rolling, spinning* modes of the motion. We denote the state vector by  $\mathbf{X} = (P \ R \ S)^T \in \mathbf{R}^3$ . The parameter  $\lambda$  encodes the aspect ratio of the rattleback shape. Throughout we choose  $\lambda > 1$ . This means that  $P$  corresponds to lengthwise oscillations along the keel of the boat,  $R$  to sideways oscillations. When  $\lambda = 1$ , the rattleback has an umbilic on the contact surface, so chirality disappears.

Let us examine a number of phenomenological features characteristic of the rattleback.

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**Fig. 1.** (a) A rattleback. Three modes, *pitch*, *roll*, and *spin*, constitute a nonlinearly coupled dynamics. Pitch and roll are oscillations, whose intensities are  $P$  and  $R$ . Spin  $S$  takes signs in which the chirality manifests itself: spinning in the non-preferred sense  $S > 0$  induces strong  $P$ -instability, resulting in a quick reversal, whereas spinning in the preferred sense  $S < 0$  induces weak  $R$ -instability, resulting in a sluggish reversal. (b) Typical solution of PRS, performing repeated spin reversals (from Fig. 1 of [1]).  $\lambda = 4$ ,  $P(0) = R(0) = 0.01$ ,  $S(0) = 0.5$ . The blue curve is pitch  $P$ , the red dotted curve is roll  $R$ , the brown thick curve is spin  $S$ . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

### 2.1. Chiral dynamics

Fig. 1 depicts a typical solution. Energy cycles in the order  $+S \rightarrow P \rightarrow -S \rightarrow R \rightarrow +S$ , where the signs  $\pm$  distinguish between the two senses of spin. The chirality manifests itself in the transition  $+S \rightarrow P \rightarrow -S$  happening quicker than the transition  $-S \rightarrow R \rightarrow +S$ . You can think of  $-S$  as the sense induced by  $P$ , of  $+S$  as that induced by  $R$ . In terms of the skewness of the mass distribution, the spin from the long axis of the ellipsoid of inertia to the small principal curvature direction has the  $+$  sign

The widespread belief that ‘one spin is stable and the opposite spin is unstable’ is wrong. A handy way to check experimentally that in reality both spins are unstable is to start from rest: if we excite pitch by tapping on the prow of the boat, the rattleback goes into one spin (this is our  $+$ ); if, however, we excite roll by tapping on a side, it spontaneously goes into the opposite spin.

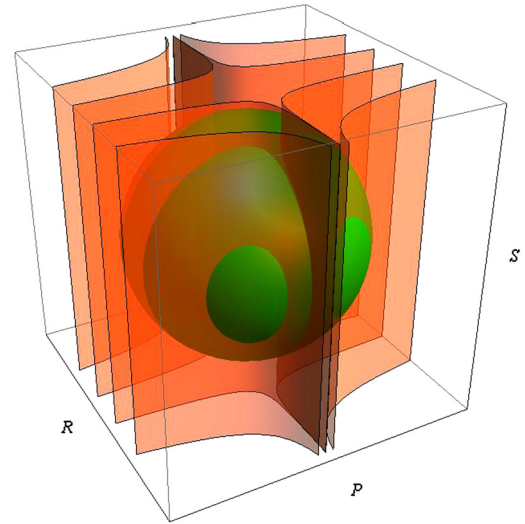
Both spins are unstable, but the exponents of instability are unequal. Linearizing (1) around the spinning equilibrium  $(0 \ 0 \ S_e)^T$  at any constant value  $S_e$ , we find

$$\frac{d}{dt} \begin{pmatrix} \Delta P \\ \Delta R \\ \Delta S \end{pmatrix} = \begin{pmatrix} \lambda S_e \Delta P \\ -S_e \Delta R \\ 0 \end{pmatrix}. \tag{2}$$

where  $\Delta$  denotes perturbations,  $P = P_e + \Delta P = \Delta P$ , etc. During  $S_e > 0$ ,  $\Delta P$  grows exponentially at a large (quick) rate  $\lambda S_e$  while  $\Delta R$  decays exponentially at a small (sluggish) rate  $S_e$ . During  $S_e < 0$ ,  $\Delta R$  grows at a sluggish rate  $|S_e|$  while  $\Delta P$  decays at a quick rate  $\lambda |S_e|$ .

Witness the unequal exponents experimentally by placing a rattleback on a vibrating floor. Though both pitch and roll get excited, soon pitch dominates and the rattleback ends up with a  $-$ spin. We can also shake an ensemble of rattlebacks and create a ‘chiral gas’ [2] or a ‘chiral metamaterial’ [3–5]. Such systems have a chance of motivating innovation of various technologies. For example, chiral ‘particles’ may be used for energy harvesting, in a manner similar to the mechanism of automatic wristwatch winding, where they convert ambient thermal fluctuations to some lower-entropy energy. The following formulation and analysis provides a basic picture for designing such devices.

It can be shown that given the above phenomenological features, subject to the hypothesis that the model be 1st-order and quadratic in  $P, R, S$ , the form of the equation of motion is essentially unique. Thus PRS is actually the minimal model of the rattleback.



**Fig. 2.** Orbits are the intersections of an energy sphere  $H = \frac{1}{2}(P^2 + R^2 + S^2) = \text{const}$  (green) and a Casimir leaf  $C = PR^\lambda = \text{const}$  (red). The sphere  $H = 1$  and the leaves  $C = -1, -0.01, +0.01, +1$  are depicted. The aspect ratio is  $\lambda = 4$ . (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

### 2.2. Conservation laws

In experiments we seldom see the  $-$ spin go into roll and reverse, because dissipation (friction on the floor) tends to kill the motion before this weak instability kicks in. The  $+$ spin, whose instability is strong, goes into pitch vigorously and reverses quickly. If dissipation is absent or not too severe, the rattleback keeps reversing back and forth. Indeed, thanks to the following 2 conserved quantities [1], PRS is integrable and the orbits are periodic:

$$H(\mathbf{X}) = \frac{1}{2} (P^2 + R^2 + S^2), \tag{3}$$

$$C(\mathbf{X}) = PR^\lambda. \tag{4}$$

The intersection of a level surface (sphere) of  $H$  and of a level surface (leaf) of  $C$  delineates the orbit in the 3-dimensional phase space  $\mathbf{R}^3$ ; see Fig. 2 (the picture is extended to  $P < 0, R < 0$ ).

The orbit may reduce to an equilibrium. In Fig. 2 we notice, for each value of  $H$ , 4 points at which the energy sphere is tangent to a Casimir leaf. Physically we mix just the right balance of pitch and roll,  $R/P = \sqrt{\lambda}$ , for a given energy so that the rattleback rocks with zero spin. This is expected: pure pitch induces  $S < 0$ , pure roll induces  $S > 0$ , so at some azimuth in-between we must be able to induce  $S = 0$ . These rocking equilibria (physically 2) are

stable, because again by Fig. 2, if we perturb the values of  $H$  or  $C$ , periodic orbits appear nearby.

2.3. Theoretical problems

However, the conclusion of the eigen-modal analysis is puzzling when viewed against the general Hamiltonian theory (as about to be shown, PRS is Hamiltonian). Every linear Hamiltonian system has time-reversible symmetric spectra (Krein’s theorem): if  $\mu \in \mathbf{C}$  is an eigenvalue, so are  $-\mu, \mu^*, -\mu^*$ . The unequal exponents  $\lambda S_e \neq S_e$  violate this symmetry. The culprit for this violation is that our Hamiltonian system is *singular* (meaning ‘not regular’, rather than ‘infinite’) at  $(0 \ 0 \ S_e)^T$ . The original nonlinear system (1) has a parity-time symmetry (invariant under  $t \mapsto -t$  and  $(P \ R \ S)^T \mapsto (P \ R \ -S)^T$ ).

Another puzzling issue is that in (3) and (4),  $H$  is the energy, but the extra conserved quantity  $C$  seems to come from nowhere (its spotting in [1] was serendipitous).

Inquiry into these questions reveals an interesting, and possibly general, mathematical structure that imparts chirality to a dynamical system.

3. Analysis

We shall now see that the geometry of the phase space and the underlying Lie–Poisson algebra allow us to go some way toward a more fundamental explanation of the dynamic chirality and an interpretation of  $C$ , as follows.

3.1. Hamiltonian structure

Despite the odd-dimensionality of the phase space  $\mathbf{R}^3$ , it proves possible to write the PRS (1) as a Hamiltonian system whose Poisson bracket on the phase-space functions (observables) realizes some known Lie algebra. Such a bracket is known as a *Lie–Poisson bracket* [6]. There is a large literature on the Hamiltonian structure of generalized tops and associated Lie algebra constructions; see e.g. [7–9] and references therein.

Denoting by  $\langle \cdot, \cdot \rangle$  the usual inner product on  $\mathbf{R}^3$ , we define a bracket on observables

$$\{F, G\}_J = \langle \partial_X F, J \partial_X G \rangle \tag{5}$$

with a Poisson matrix

$$J = \begin{pmatrix} 0 & 0 & \lambda P \\ 0 & 0 & -R \\ -\lambda P & R & 0 \end{pmatrix}. \tag{6}$$

This bracket is Lie–Poisson, realizing the *Bianchi type*  $VI_h$  ( $h = -\lambda$ ) *Lie algebra* on the space of observables (see Table 1 and Appendix A). The unfamiliarity of this algebra attests to the strange behavior of the rattleback and vice versa. We have  $\text{rank } J = 2$  except along the singular locus, the  $S$ -axis  $P = R = 0$ , where  $\text{rank } J$  drops to 0. We also have  $J \partial_X C = 0$  so  $\{C, G\}_J = 0$  for every observable  $G$ , i.e.  $C$  is a *Casimir*. In Fig. 2 the Casimir leaves are colored red. With  $H$  as the Hamiltonian, (1) becomes Hamilton’s equation

$$\frac{d}{dt} \mathbf{X} = \{\mathbf{X}, H\}_J. \tag{7}$$

For every orbit, there exists a leaf on which that orbit lies entirely. A Casimir leaf is the effective phase space for that value of  $C$ .

3.2. Dual formalism

In our formalism (after rescaling), the Hamiltonian  $H$  of (3) was symmetric, the Casimir of (4) was asymmetric: it is as if a sym-

Table 1

3-dimensional Lie–Poisson algebras (Bianchi classification). To avoid redundancy, for type  $IV_h$  we impose  $h \neq 0, 1$ . The Casimir of type  $VII_{h \neq 0}$  requires further classification:  $|h| > 2$  gives  $C_{VII_{h \neq 0}} = \lambda_- \log(-\lambda_- X_1 - X_2) - \lambda_+ \log(\lambda_+ X_1 + X_2)$ ;  $h = \pm 2$  gives  $C_{VII_{h \neq 0}} = \frac{\pm X_2}{X_1 \mp X_2} + \log(X_1 \mp X_2)$ ;  $-2 < h < 2$  gives, putting  $a = -h/2$  and  $\omega = \sqrt{-h^2/4}$  (i.e.  $\lambda_{\pm} = a \pm i\omega$ ),  $C_{VII_{h \neq 0}} = 2a \arctan \frac{aX_1 + X_2}{\omega X_1} - \omega \log[(aX_1 + X_2)^2 + (\omega X_1)^2]$ .

Type	Poisson matrix	Casimir
I	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\begin{cases} X_1 \\ X_2 \\ X_3 \end{cases}$
II	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & X_1 \\ 0 & -X_1 & 0 \end{pmatrix}$	$X_1$
III	$\begin{pmatrix} 0 & 0 & X_1 \\ 0 & 0 & 0 \\ -X_1 & 0 & 0 \end{pmatrix}$	$X_2$
IV	$\begin{pmatrix} 0 & 0 & X_1 \\ 0 & 0 & X_1 + X_2 \\ -X_1 & -X_1 - X_2 & 0 \end{pmatrix}$	$\frac{X_2}{X_1} - \log X_1$
V	$\begin{pmatrix} 0 & 0 & X_1 \\ 0 & 0 & X_2 \\ -X_1 & -X_2 & 0 \end{pmatrix}$	$\frac{X_2}{X_1}$
$VI_{-1}$	$\begin{pmatrix} 0 & 0 & X_1 \\ 0 & 0 & -X_2 \\ -X_1 & X_2 & 0 \end{pmatrix}$	$X_1 X_2$
$VI_{h \neq -1}$	$\begin{pmatrix} 0 & 0 & X_1 \\ 0 & 0 & hX_2 \\ -X_1 & -hX_2 & 0 \end{pmatrix}$	$\frac{X_2}{X_1^h}$
$VII_0$	$\begin{pmatrix} 0 & 0 & X_2 \\ 0 & 0 & -X_1 \\ -X_2 & X_1 & 0 \end{pmatrix}$	$X_1^2 + X_2^2$
$VII_{h \neq 0}$	$\begin{pmatrix} 0 & 0 & X_2 \\ 0 & 0 & -X_1 + hX_2 \\ -X_2 & X_1 - hX_2 & 0 \end{pmatrix}$	$G(X_1, X_2, X_3)$
VIII	$\begin{pmatrix} 0 & X_3 & X_2 \\ -X_3 & 0 & -X_1 \\ -X_2 & X_1 & 0 \end{pmatrix}$	$X_1^2 + X_2^2 - X_3^2$
IX	$\begin{pmatrix} 0 & X_3 & -X_2 \\ -X_3 & 0 & X_1 \\ X_2 & -X_1 & 0 \end{pmatrix}$	$X_1^2 + X_2^2 + X_3^2$

metric body were moving in an asymmetric phase-space. But an orbit is just the intersection of two level surfaces and does not know which is Hamiltonian and which is Casimir. Hence, there ought to be a dual Lie–Poisson formalism that exchanges the roles of  $H$  and  $C$ , as if an asymmetric body were moving in a symmetric phase space. Though this sounds pleasant—after all, the rattleback is an asymmetric body—the calculations are less so. The Poisson matrix

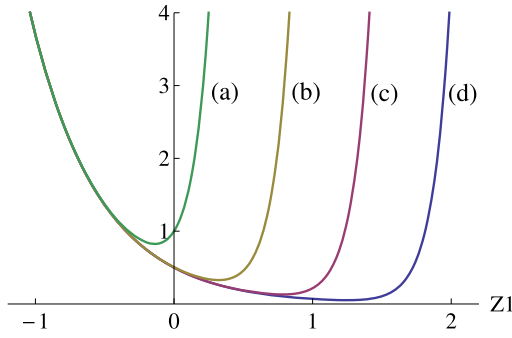
$$K = \begin{pmatrix} 0 & R^{1-\lambda} S & -R^{2-\lambda} \\ -R^{1-\lambda} S & 0 & P R^{1-\lambda} \\ R^{2-\lambda} & -P R^{1-\lambda} & 0 \end{pmatrix} \tag{8}$$

is found by the requirement that (7) with  $C$  replacing  $H$  should recover the PRS (1). Since  $K$  is nonlinear in  $\mathbf{X}$ , the bracket  $\{F, G\}_K = \langle \partial_X F, K \partial_X G \rangle$  is not Lie–Poisson (unless  $\lambda = 1$ ). But a change of coordinates

$$\mathbf{X} \mapsto \mathbf{Y} = \begin{pmatrix} \sqrt{P^2 + S^2} \cos[ R^{1-\lambda} \arctan(S/P) ] \\ R \\ \sqrt{P^2 + S^2} \sin[ R^{1-\lambda} \arctan(S/P) ] \end{pmatrix}$$

turns  $K$  into an  $\mathfrak{so}(3)$ -matrix

$$L = \begin{pmatrix} 0 & Y_3 & -Y_2 \\ -Y_3 & 0 & Y_1 \\ Y_2 & -Y_1 & 0 \end{pmatrix}, \tag{9}$$



**Fig. 3.** The asymmetric potential  $U_{\lambda,C}(Z_1) = \frac{1}{2}(e^{-2Z_1} + C^2 e^{2\lambda Z_1})$  of the canonized equation of motion;  $\lambda = 4$ , (a)  $C = 1$ , (b)  $C = 0.1$ , (c)  $C = 0.01$ , (d)  $C = 0.001$ .

and we have a Lie–Poisson bracket  $\{F, G\}_L = \langle \partial_Y F, L \partial_Y G \rangle$ , type IX. The Hamiltonian is

$$C(\mathbf{Y}) = \pm \frac{Y_2^\lambda \sqrt{Y_1^2 + Y_3^2}}{\cos[Y_2^{\lambda-1} \arctan(Y_3/Y_1)]}. \tag{10}$$

3.3. Casimir leaf–skewed effective space

Return to the Lie–Poisson bracket  $\{F, G\}_J$  of (5). The natural thing to do is to bring it into a normal form. This is achieved by Darboux’s theorem:  $J$  is equivalent to

$$J_D = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \tag{11}$$

under a change of coordinates in which the Casimir serves as one coordinate and the other two coordinates are a canonically conjugate pair that parametrize the Casimir leaves. Explicitly,

$$\mathbf{X} \mapsto \mathbf{Z} = \begin{pmatrix} -\log R \\ S \\ PR^\lambda \end{pmatrix} \tag{12}$$

defines the normal form of the (still degenerate) Poisson bracket  $\{F, G\}_{J_D} = \langle \partial_Z F, J_D \partial_Z G \rangle = \{F, G\}_J$ . In these almost canonical Darboux coordinates  $\mathbf{Z}$  the Hamiltonian of (3) takes the form

$$H(\mathbf{Z}) = \frac{1}{2} Z_2^2 + U_{\lambda,C}(Z_1) \tag{13}$$

with

$$U_{\lambda,C}(Z_1) = \frac{1}{2} (e^{-2Z_1} + C^2 e^{2\lambda Z_1}). \tag{14}$$

It is sensible to regard  $Z_1$  as the position and  $Z_2$  as the velocity of an oscillator, because  $dZ_1/dt = Z_2$  by the equation of motion. Then the first and second terms on the right of (13) are kinetic and potential energies.  $\lambda$  and  $C$  are constants, the value of the Casimir  $C = Z_3$  being fixed by the initial condition.

It is now easy to read off the rattleback’s behavior from the asymmetric shape of the potential energy  $U_{\lambda,C}(Z_1)$ . As graphed in Fig. 3, for  $\lambda > 1$  and  $C \neq 0$  the potential has gentle slopes toward negative  $Z_1$  and steep cliffs toward positive  $Z_1$ . Suppose we send the rattleback toward positive  $Z_1$ . It runs up a steep cliff and sharply reverses the spin, turning  $Z_2 = S$  from positive to negative (cf. Fig. 1). As it moves toward negative  $Z_1$ , it trots up a gentle slope and eventually turns from negative to positive  $Z_2$ , but the reversal is not so sharp. The bottom of the potential,  $Z_1 = \log(C^2 \lambda)^{-1/(2\lambda+2)}$ , is the rocking equilibrium. When  $\lambda < 1$ , the asymmetry is mirrored, the negative-to-positive turn being

sharper than the positive-to-negative turn. The value of  $C$  has no bearing on chirality.

Ultimately the asymmetry of  $U_{\lambda,C}(Z_1)$  is imputable to the asymmetry of the Casimir  $PR^\lambda$  against the background of the Hamiltonian  $\frac{1}{2}(P^2 + R^2 + S^2)$  symmetric in the 3 variables. The Casimir leaves in Fig. 2 are distorted near the  $S$ -axis, the singularity. The presence of a singularity and the asymmetric distortion of Casimir leaves near the singularity are common to all Bianchi class B algebras, of which Bianchi type  $VI_{h<-1}$ , realized by our Lie–Poisson bracket  $\{F, G\}_J$ , was an instance.

We have solved the puzzles given in section 2.3: the breaking of the time-reversible symmetry of spectra is due to the singularity of the Poisson matrix, which prevents the application of Krein’s theorem. The unbalanced growth rates are for orbits near the equilibrium point that lives just at the singularity (where the rank of the Poisson matrix drops to zero, so every point of the singularity is an equilibrium point, independently of any particular Hamiltonian). Unlike the perturbations around usual equilibrium points in regular Hamiltonian systems, the perturbation around the singularity affects the Poisson matrix itself, resulting in strange non-Hamiltonian spectra.

We have identified the invariant  $C$  as the Casimir of the governing Poisson algebra. In the next section, we will put Casimirs into perspective.

4. Variety of chiral systems: Bianchi classification

Fig. 4 and Table 1 provide a complete list of 3-dimensional Lie–Poisson systems. The real 3-dimensional Lie algebras are classified according to the scheme for Bianchi cosmologies (e.g. [12]). There are two classes: class A composed of types I, II,  $VI_{-1}$ ,  $VII_0$ , VIII, IX, and class B composed of types III, IV, V,  $VI_{h \neq -1}$ ,  $VII_{h \neq 0}$ . Fig. 4 depicts their Casimir leaves. All these 3-dimensional systems possess 2 conserved quantities and hence are integrable: the Hamiltonian, plus a Casimir that spans the kernel of the degenerate bracket.

In class A, the most elementary instance is type II, Heisenberg algebra: the intersection circles of an energy sphere and a flat Casimir leaf are the orbits of a harmonic oscillator. For the rigid body, type IX  $so(3)$ -algebra, the Casimir leaves are the angular momentum spheres: their intersections with an energy ellipsoid give the ‘tennis racket theorem’. For the equation of the Kida vortex in fluid mechanics, type VIII  $so(2, 1)$ -algebra, the Casimir hyperboloids and an energy surface intersect in rotational, librational, or unstable orbits of the patch [13]. The leaves for class A, being quadrics, are regular except at the zero set (e.g. at the center of the spheres in type IX).

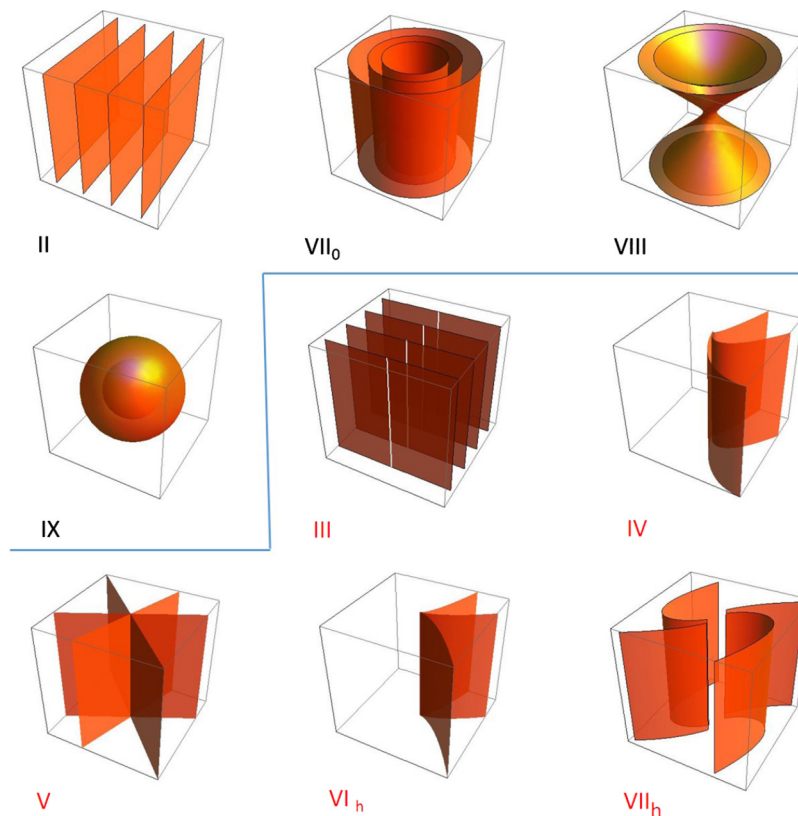
In contrast, every system that realizes a class B algebra has a singularity and exhibits chirality. It is remarkable that PRS realizes a class B algebra, type  $VI_{h<-1}$ . It is the first time any class B algebra appears in a mechanical example. Moreover, in all the other class B systems, every Casimir leaf is attached to the singularity, leading orbits into asymptotic regimes. But in type  $VI_{h<-1}$ , the singularity is disjoint from the leaves. This enables the PRS orbits to be closed curves on the leaf, producing periodic rattling and reversals.

5. Chaotic rattleback

Integrable Hamiltonian systems like PRS are structurally unstable. Here we perturb this system in a natural way by appending to its canonical Hamiltonian form an additional degree of freedom. This allows us to investigate the ensuing chaos of PRS.

We embed the phase space  $\mathbf{R}^3$  into a 4-dimensional phase space  $\mathbf{R}^4$ , and construct an extended Poisson algebra by extend-





**Fig. 4.** Bianchi algebras foliated by Casimir leaves. Type I algebra is commutative, so the Lie–Poisson bracket is trivial. Class A, composed of types I, II, VI<sub>-1</sub>, VII<sub>0</sub>, VIII, IX, have regular leaves, whereas class B, composed of types III, IV, V, VI<sub>h≠-1</sub>, VII<sub>h≠0</sub>, have singularities. Types II and III look alike, but the leaves in type III are singular along the vertical white lines. The type VI<sub>h</sub> leaves for h < 0 were already in Fig. 2; the leaves here are for h = 1.5. The pictures of the leaves of type VII<sub>h</sub> (h = 1.5) are cut off near the singularity X<sub>1</sub> = X<sub>2</sub> = 0. Type II is the Heisenberg algebra. Types IX so(3) and VIII so(2, 1) govern the free rigid body and the Kida vortex. We have discovered that type VI<sub>h<-1</sub> governs the prototypical rattleback system (PRS).

ing  $J_D$  to a cosymplectic matrix  $J_C$ . Adjoining a new coordinate  $Z_4 \in \mathbf{R}$ , let

$$\tilde{\mathbf{Z}} = \begin{pmatrix} \tilde{Z}_1 \\ \tilde{Z}_2 \\ \tilde{Z}_3 \\ \tilde{Z}_4 \end{pmatrix} = \begin{pmatrix} Z_1 \\ Z_2 \\ Z_3 \\ Z_4 \end{pmatrix} = \begin{pmatrix} -\log R \\ S \\ PR^\lambda \\ Z_4 \end{pmatrix} \in \mathbf{R}^4.$$

The idea of *canonical extension* [10,11] of  $J_D$  is simple: let

$$J_C = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix}, \tag{15}$$

where the kernel of  $J_D$  (the 33 zero corner) gets inflated to a symplectic cell in  $J_C$ ; the extended Poisson matrix  $J_C$  is the  $4 \times 4$  cosymplectic matrix, which defines the Poisson algebra with the canonical bracket (denoting by  $\langle \cdot, \cdot \rangle_{\mathbf{R}^4}$  the inner product on  $\mathbf{R}^4$ )

$$\{F, G\}_{J_C} = \langle \partial_{\tilde{\mathbf{z}}} F, J_C \partial_{\tilde{\mathbf{z}}} G \rangle_{\mathbf{R}^4}. \tag{16}$$

As long as the Hamiltonian  $H$  does not depend explicitly on the new variable  $Z_4$ , the dynamics on the submanifold  $\mathbf{R}^3$  is the same as the original dynamics. When this is the case, we call  $Z_4$  a *phantom* variable. Now the invariance of  $C$ , which was a hallmark of the degeneracy of  $J$ , has been removed:  $J_C$  being canonical on the extended phase space  $\mathbf{R}^4$  has no Casimir invariant. Instead,  $C$  is a first integral coming from the symmetry  $\partial_{Z_4} H = 0$  via Noether’s theorem.

However, we can *unfreeze*  $C$  by perturbing  $H$  with a term containing the new variable  $Z_4$ ; in which case,  $C = Z_3$  becomes dy-

namical and  $Z_4$  becomes an *actual* variable. Physically, we may interpret a Casimir as an *adiabatic invariant* associated with an ignorable, small-scale angle variable [10,11]; upon adjoining  $Z_4$  to  $H$  the angle variable materializes from phantom to actual.

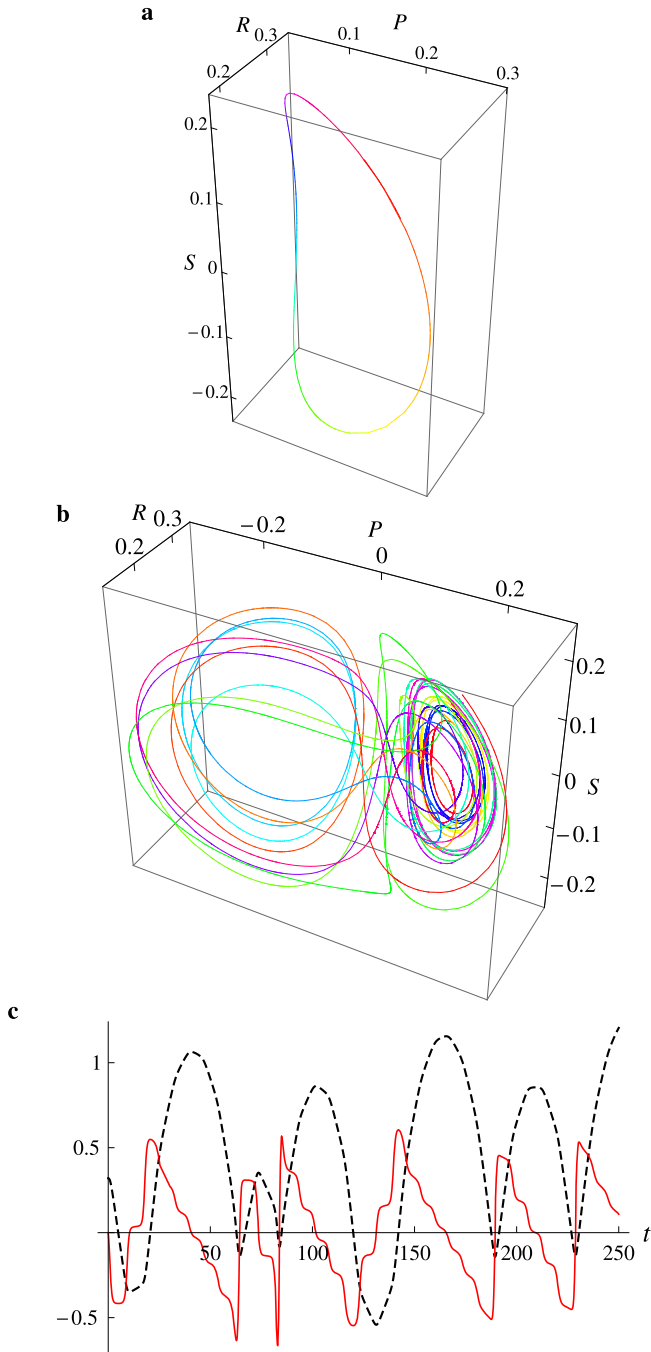
Let us examine the example

$$H(\tilde{\mathbf{Z}}) = \frac{1}{2} (Z_3^2 e^{2\lambda Z_1} + e^{-2Z_1} + Z_2^2) + \epsilon \frac{1}{2} (Z_3^2 + Z_4^2), \tag{17}$$

where the  $\epsilon$  term perturbs (13)–(14). The perturbation unfreezes the adiabatic invariant  $Z_3 = C$ . Physically this term represents an oscillation energy.

Fig. 5 shows typical solutions of the extended system (15)–(17). In (a) and (b) we plot the orbits projected onto the 3-dimensional  $(P, R, S)$  subspace. Fig. 5 (a) depicts an orbit of the original integrable system: this unperturbed case with  $\epsilon = 0$  shows the orbit that is the intersection of an energy sphere and a Casimir surface, as was seen in Fig. 2. Fig. 5 (b) illustrates a typical chaotic orbit that results from unfreezing the Casimir  $C = Z_3$  with  $\epsilon = 2 \times 10^{-7}$ , which then allows the orbit to wander among different leaves (even into the negative  $C$  domain). In Fig. 5 (c),  $Z_3(t)$  is plotted together with its conjugate variable  $Z_4(t)$ , along with the solution illustrated in (b).

Even after the canonization, the singularity ( $S$ -axis) of the original Lie–Poisson algebra remains as a peculiar set around which the dynamics is dramatically modified by the singular perturbation (here, the inclusion of the new variable  $Z_4$  works as a singular perturbation, resulting in an increase of the number of degrees of freedom). The perturbed system exhibits chaotic spin reversals, and chirality persists as long as the perturbation is weak enough for the orbit to make chaotic itinerancy among different leaves.



**Fig. 5.** (a) Unperturbed orbit in the  $(P, R, S)$  space.  $\lambda = 4$ ,  $P(0) = R(0) = S(0) = 0.2$ . (b) Perturbed chaotic orbit in the  $(P, R, S)$  subspace.  $\lambda = 4$ ,  $P(0) = R(0) = S(0) = 0.2$ , and  $\epsilon = 2 \times 10^{-7}$ . (c) The evolution of  $Z_3(t) \times 10^3$  (black dotted) and  $Z_4(t) \times 10^{-3}$  (red solid).

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**Appendix A. Lie–Poisson brackets of 3-dimensional systems**

There is a systematic method for constructing Poisson brackets from any given Lie algebra. Such brackets are called *Lie–Poisson brackets*, because they were known to Lie in the 19th century. Let  $\mathfrak{g}$  be a Lie algebra with bracket  $[\cdot, \cdot]$ . Take  $\mathfrak{g}$  as the phase space

**Table A.2**

Bianchi classification of 3-dimensional Lie algebra (after M.P. Ryan and L.C. Shepley [14]).

Class	Type	$m$	$a_i$
A	I	0	0
A	II	diag(1, 0, 0)	0
A	VI $_{-1}$	$-\alpha$	0
A	VII $_0$	diag(-1, -1, 0)	0
A	VIII	diag(-1, 1, 1)	0
A	IX	diag(1, 1, 1)	0
B	III	$-\frac{1}{2}\alpha$	$-\frac{1}{2}\delta_3^i$
B	IV	diag(1, 0, 0)	$-\delta_3^i$
B	V	0	$-\delta_3^i$
B	VI $_{h \neq -1}$	$\frac{1}{2}(h-1)\alpha$	$-\frac{1}{2}\delta_3^i$
B	VII $_{h=0}$	diag(-1, -1, 0) + $\frac{1}{2}h\alpha$	$-\frac{1}{2}h\delta_3^i$

and denote a linear functional on  $\mathfrak{g}$  by  $\langle \omega, \cdot \rangle \in \mathfrak{g}^*$ . Choosing  $\omega = \mathbf{X}$  we define, for smooth functions  $F(\mathbf{X})$  and  $G(\mathbf{X})$ ,

$$\{F, G\} = \langle \mathbf{X}, [\partial_{\mathbf{X}}F, \partial_{\mathbf{X}}G] \rangle,$$

where  $\partial_{\mathbf{X}}F$  is the gradient in  $\mathfrak{g}$  of a function  $F(\mathbf{X})$ . Because of this construction the Lie–Poisson bracket  $\{ \cdot, \cdot \}$  inherits bilinearity, anti-symmetry, and the Jacobi’s identity from that of  $[\cdot, \cdot]$ .

According to the Bianchi classification, the structure constants for 3-dimensional Lie algebras have the form

$$c_{jk}^i = \epsilon_{jks}m^{si} + \delta_k^i a_j - \delta_j^i a_k$$

where  $m$ , a  $3 \times 3$  symmetric matrix, and  $a$ , a triple, take on different values for the nine Lie algebras as summarized in Table A.2. In the table

$$\alpha = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

The  $3 \times 3$  antisymmetric matrices  $J$  defined as (using lowered indices):

$$J_{ij} = c_{ij}^k X_k$$

gives the Lie–Poisson brackets  $\{F, G\} = \langle \partial_{\mathbf{X}}F, J\partial_{\mathbf{X}}G \rangle$ ; cf. Table 1 and [6]. Notice that  $J$  is linear with respect to  $\mathbf{X}$ .

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# Erratum to “Rattleback: a model of how geometric singularity induces dynamic chirality”

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In the tables given for extended information for the reader, some typos appeared. They do not affect the conclusion of the Letter, but may be potentially harmful for the use of these tables as references.

1. In the caption of Table 1, there were typos, as well as undefined notations. The complete caption should read:

### Table 1

3-dimensional Lie-Poisson algebras (Bianchi classification). To avoid redundancy, for type  $\mathbf{VI}_h$  we impose  $h \neq 0, 1$ . The Casimir of type  $\mathbf{VII}_{h \neq 0}$  requires further classification: denoting  $\lambda_{\pm} = \frac{1}{2}(-h \pm \sqrt{h^2 - 4}) = a \pm i\omega$ ,  $|h| > 2$  gives  $C_{\mathbf{VII}_{h \neq 0}} = \lambda_- \log(-\lambda_- X_1 - X_2) - \lambda_+ \log(\lambda_+ X_1 + X_2)$ ;  $h = \pm 2$  gives  $C_{\mathbf{VII}_{h \neq 0}} = \frac{\pm X_2}{X_1 \mp X_2} + \log(X_1 \mp X_2)$ ;  $-2 < h < 2$  gives  $C_{\mathbf{VII}_{h \neq 0}} = 2a \arctan \frac{aX_1 + X_2}{\omega X_1} - \omega \log[(aX_1 + X_2)^2 + (\omega X_1)^2]$ .

2. There was a typo in Table A-2, Type  $\mathbf{VI}_{h \neq -1}$  Bianchi algebra's coefficient  $a_i$ . The complete table should be:

Class	Type	$m$	$a_i$
A	I	0	0
A	II	diag(1, 0, 0)	0
A	$\mathbf{VI}_{-1}$	$-\alpha$	0
A	$\mathbf{VII}_0$	diag(-1, -1, 0)	0
A	VIII	diag(-1, 1, 1)	0
A	IX	diag(1, 1, 1)	0
B	III	$-\frac{1}{2}\alpha$	$-\frac{1}{2}\delta_3^i$
B	IV	diag(1, 0, 0)	$-\delta_3^i$
B	V	0	$-\delta_3^i$
B	$\mathbf{VI}_{h \neq -1}$	$\frac{1}{2}(h-1)\alpha$	$-\frac{1}{2}(h+1)\delta_3^i$
B	$\mathbf{VII}_{h=0}$	diag(-1, -1, 0) + $\frac{1}{2}h\alpha$	$-\frac{1}{2}h\delta_3^i$

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