

HAMILTONIAN FORMULATION OF REDUCED MHD

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Hamiltonian Formulation of Reduced MHD*, R.D. HAZELTINE and P.J. MORRISON, U. of Texas, IFS--The reduced MHD model has become a principal tool for understanding nonlinear processes (e.g., disruptions) in a tokamak discharge. Although analytical treatments of reduced MHD turbulence have been helpful, the model's impressive ability to simulate such phenomena is based primarily on numerical solutions. The present work describes a new analytical approach, not restricted to turbulent regimes, based on Hamiltonian field theory.¹ It has been found that the nonlinear (ideal) reduced MHD system can be expressed in Hamiltonian form: $\dot{\xi}_i = \{H, \xi_i\}$, where $\xi_1 = \psi$, the poloidal flux, and $\xi_2 = \nabla_{\perp}^2 \phi$, with ϕ the electrostatic potential. The Hamiltonian is the usual field energy, $H = 1/2 \int dx [(\nabla \phi)^2 + (\nabla \psi)^2]$ and the bracket is defined by $\{F, G\} = \int dx \{\psi([F_1, G_2] + [F_2, G_1]) + \nabla^2 \phi [F_2, G_2]\}$, where F and G are functionals of ξ , $F_i \equiv \partial F / \partial \xi_i$, and the inner bracket is given by $[f, g] = 2 \cdot \nabla f \times \nabla g$. Discretization and truncation within this Hamiltonian paradigm yields energy conserving approximations. Furthermore we have a Liouville theorem in function space.

¹P. Morrison and J. Greene, PRL 45, 790(1980); 48, 569 (1982); P. Morrison in "Math. Methods in Hydrodynamics", Ed. M. Tabor(AIP, 1981).

I REDUCED MHD - DERIVATION

Scale ideal MHD

$$\vec{v}_\perp \quad \text{with} \quad v_p = B_p / \sqrt{\rho}$$

$$v_{||} \equiv 0$$

$$\pm \quad \text{with} \quad \xi_p = a/v_p \quad (a = \text{minor radius})$$

$$\vec{B} \quad \text{with} \quad B_0 \equiv \text{vacuum on axis toroidal field}$$

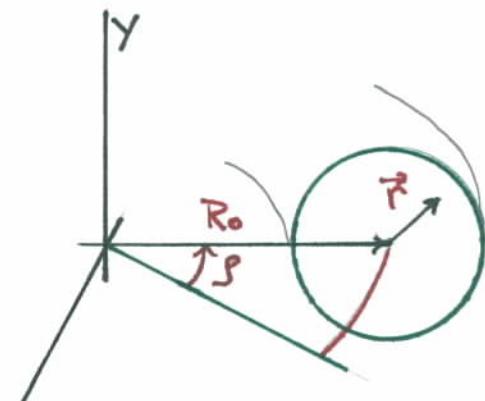
$$\nabla_{||} \quad \text{with} \quad R_0 \equiv \text{major radius}$$

$$\nabla_\perp \quad \text{with} \quad a$$

small parameter

$$\epsilon \equiv a/R_0$$

$$\frac{P}{B^2} \sim \epsilon^2$$



$$R = R_0 + X$$

note $\nabla \cdot \vec{v} \sim \epsilon$

Scaled ideal MHD

$$\frac{\partial \vec{U}_\perp}{\partial \xi} + \vec{U}_\perp \cdot \nabla_\perp \vec{U}_\perp = -(\varepsilon \nabla_{||} + \nabla_\perp) p + \frac{1}{\varepsilon^2} [(\varepsilon \nabla_{||} + \nabla_\perp) \times \vec{B}] \times \vec{B}$$

$$\frac{\partial \vec{B}}{\partial \xi} = (\varepsilon \nabla_{||} + \nabla_\perp) \times (\vec{U}_\perp \times \vec{B})$$

$$\vec{B} = B_T \hat{s} + \varepsilon \nabla_{||} s \times \nabla_\perp \psi$$

$$B_T = \frac{1}{1+\varepsilon x} + \varepsilon^2 b$$

\Rightarrow

$$\dot{\psi} = -\frac{\partial \phi}{\partial s} + \nabla_{||} s \cdot \nabla_\perp \psi \times \nabla_\perp \phi$$

$$\dot{J} = -\frac{\partial J}{\partial s} + \nabla_{||} s \cdot \nabla_\perp \psi \times \nabla_\perp J + \nabla_{||} s \cdot \nabla_\perp U \times \nabla_\perp \phi$$

$$U = \nabla_\perp^2 \phi = \hat{s} \cdot \nabla_\perp \times \vec{U}_\perp \quad \text{vorticity}$$

$$J = \nabla_\perp^2 \psi \quad \text{toroidal current}$$

$$\phi = \text{stream function} - \vec{U}_\perp = \hat{s} \times \nabla_\perp \phi$$

II GENERALIZED HAMILTONIAN DYNAMICS

conventional approach

$$\mathcal{L}(q, \dot{q}) \xrightarrow{\text{Legendre}} H(p, q)$$

$$\dot{q}_k = [q_k, H] \quad \& \quad \dot{p}_k = [p_k, H]$$

$k=1, 2, \dots, N$

$$[f, g] = \sum_k^N \left[\frac{\partial f}{\partial q_k} \frac{\partial g}{\partial p_k} - \frac{\partial g}{\partial q_k} \frac{\partial f}{\partial p_k} \right]$$

Equivalently

$$\dot{z}^i = [z^i, H] = J^{ij} \frac{\partial H}{\partial z^j}$$

$$[f, g] = \frac{\partial f}{\partial z^i} J^{ij} \frac{\partial g}{\partial z^j} \quad (J^{ij}) = \begin{bmatrix} 0 & I_N \\ -I_N & 0 \end{bmatrix}$$

$$z^i = \begin{cases} q_k & k=i=1, 2, \dots, N \\ p_k & i=k+N=N+1, N+2, \dots, N \end{cases}$$

Commutator properties

$[f, g]$ is bilinear

$$[f, g] = -[g, f] \Leftrightarrow J^{ij} = -J^{ji}$$

$$0 = [f, [g, h]] + \text{↑} \Leftrightarrow$$

$$S^{ijk} = J^{ie} \frac{\partial J^{jk}}{\partial z^e} + \text{↑} = 0 \quad (\text{Jacobi})$$

Consider arbitrary coordinate transformation

$$\bar{J}^{st} = \frac{\partial \bar{z}^s}{\partial z^i} J^{ij} \frac{\partial \bar{z}^t}{\partial z^j}$$

$$(\bar{J}^{st}) \neq \begin{bmatrix} 0 & I_N \\ -I_N & 0 \end{bmatrix} \text{ in general !!}$$

But commutator properties are preserved.

Converse Outlook: If J^{ij} has properties, can one find coordinates such that $J^{ij} = \begin{bmatrix} 0 & I_N \\ -I_N & 0 \end{bmatrix}$? Yes! (locally)

Such systems are Hamiltonian

Field Equations

$$\{[F, G]\} = \sum_{k=1}^M \int \left(\frac{\delta F}{\delta \eta_k} \frac{\delta G}{\delta \Pi_k} - \frac{\delta G}{\delta \eta_k} \frac{\delta F}{\delta \Pi_k} \right) dz$$

$\frac{\delta F}{\delta \eta}$ is defined by $\left. \frac{dF[\eta + \epsilon w]}{d\epsilon} \right|_{\epsilon=0} = \langle \frac{\delta F}{\delta \eta} | w \rangle$

$$\{[F, G]\} = \left\langle \frac{\delta F}{\delta u^i} \middle| O^{ij} \frac{\delta G}{\delta u^j} \right\rangle$$

Systems are Hamiltonian if O^{ij} instills commutator properties

$$\{[F, G]\} = -[G, F] \Rightarrow O^{ij} \text{ anti-self-adjoint}$$

$$\{E, [F, G]\} + \uparrow = 0 \quad \text{rigid constraint on } O^{ij} !$$

III HAMILTONIAN RMHD

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A. Invariants

Use

$$\int d\mathbf{x} f[g, h] = \int d\mathbf{x} g[h, f] = \int d\mathbf{x} h[f, g]$$

to find

$$\frac{dH}{dt} = 0, \quad \frac{dP}{dt} = 0$$

where

$$H = \frac{1}{2} \int d\mathbf{x} \left[(\nabla_{\perp} \phi)^2 + (\nabla_{\perp} \psi)^2 \right]$$

= reduced MHD (kinetic plus magnetic)
energy

$$P = \frac{1}{2} \int d\mathbf{x} \nabla_{\perp} \phi \cdot \nabla_{\perp} \psi$$

= reduced Woltjer invariant
 $\propto \int d\mathbf{x} \mathbf{B} \cdot \mathbf{U}$

Flux conservation, $\frac{d}{dt} \int d\mathbf{x} \psi = 0$, is reduced
invariance of $\int d\mathbf{x} \mathbf{A} \cdot \mathbf{B}$ (Woltjer)

B. Inner brackets

$$(x, y, z) \rightarrow (r, \theta, \zeta)$$

$$x = r \cos \theta, \quad y = r \sin \theta, \quad z = \zeta$$

Two relevant "inner" brackets:

$$[f, g] \equiv \nabla \zeta \cdot \nabla f \times \nabla g \quad ("toroidal")$$

$$[f, g]_p \equiv \nabla \theta \cdot \nabla f \times \nabla g \quad ("poloidal")$$

$$[f, g] = \frac{1}{r} \left(\frac{\partial f}{\partial r} \frac{\partial g}{\partial \theta} - \frac{\partial g}{\partial r} \frac{\partial f}{\partial \theta} \right)$$

$$[f, g]_p = \frac{1}{r} \left(\frac{\partial f}{\partial \zeta} \frac{\partial g}{\partial r} - \frac{\partial g}{\partial \zeta} \frac{\partial f}{\partial r} \right)$$

$$\text{Note: } \left[\frac{r^2}{2}, g \right]_p = - \frac{\partial g}{\partial \zeta}$$

Thus

$$\dot{\psi} = [\psi, \varphi] + \left[\frac{r^2}{2}, \varphi \right]_p$$

$$\dot{U} = [\psi, J] + [U, \varphi] + \left[\frac{r^2}{2}, J \right]_p$$

C. Single helicity case

If

$$f(r, \theta, \zeta) = f(r, \theta - \zeta/g_0)$$

then

$$[f, g]_{P.} = \frac{1}{g_0} [f, g]$$

∴ Equations of motion become

$$\dot{\psi}_h = [\psi_h, \varphi]$$

$$\dot{U} = [\psi_h, J] + [U, \varphi]$$

where

$$\psi_h \equiv \psi + \frac{r^2}{2g_0} = \text{helical Flux}$$

Also

$$H_h \equiv \frac{1}{2} \int d\mathbf{x} \left[(\nabla_1 \psi_h)^2 + (\nabla_1 \varphi)^2 \right]$$

$$= H + \text{const. (Flux conservation)}$$

$$\therefore \frac{dH_h}{dt} = 0$$

D. General Hamiltonian functional

$$H = \frac{1}{2} \int d\mathbf{x} \left[(\nabla_{\perp} \psi)^2 + (\nabla_{\perp} \phi)^2 \right]$$

$$= -\frac{1}{2} \int d\mathbf{x} (\psi J + \phi U)$$

$$\frac{\delta H}{\delta \psi} = -J, \quad \frac{\delta H}{\delta U} = -\phi$$

Equations of motion:

$$\dot{\psi} = \left[\frac{\delta H}{\delta U}, \psi \right] + \left[\frac{\delta H}{\delta U}, \frac{r^2}{2} \right]_P$$

$$\dot{U} = \left[\frac{\delta H}{\delta \psi}, \psi \right] + \left[\frac{\delta H}{\delta \psi}, U \right] + \left[\frac{\delta H}{\delta \psi}, \frac{r^2}{2} \right]_P$$

Compare to desired form:

$$(i) \dot{\psi} = \{ \psi, H \}, \quad \dot{U} = \{ U, H \}$$

$$(ii) \{ F, G \} = -\{ G, F \} \quad (\text{antisymmetry})$$

$$(iii) \{ F, \{ G, H \} \} + \text{cyclic} = 0 \quad (\text{Jacobi})$$

E. Hamiltonian bracket

$$\{F, G\} \equiv \int d\mathbf{x} \left\{ \psi \left([F_\psi, G_U] + [F_U, G_\psi] \right) \right.$$

$$\left. + U [F_U, G_U] + \frac{r^2}{2} \left([F_\psi, G_U]_P + [F_U, G_\psi]_P \right) \right\}$$

$$F_\psi \equiv \delta F / \delta \psi, \text{ etc.}$$

Single helicity: let $\psi \rightarrow \psi_h$ and omit last term.

Properties:

(i) $\dot{\psi} = \{\psi, H\}$, $\dot{U} = \{U, H\}$

- straightforward exercise

(ii) Antisymmetry

- obvious from $[f, g] = -[g, f]$

(iii) Jacobi

- lengthy verification.

F. Canonical variables

1. Clebsch Potentials

$$\psi, U \rightarrow P_i, Q_i \quad i = 1, 2$$

$$\dot{\psi} = \hat{z} \cdot \nabla Q_1 \times \nabla Q_2 = [Q_1, Q_2]$$

$$U = [Q_2, P_2] + [Q_1, P_1]$$

Find

$$\frac{\delta F}{\delta P_1} = \left[\frac{\delta F}{\delta U}, Q_1 \right],$$

$$\frac{\delta F}{\delta Q_1} = - \left[\frac{\delta F}{\delta \dot{\psi}}, Q_2 \right] - \left[\frac{\delta F}{\delta U}, P_1 \right], \text{ etc.}$$

Thus Find (for single helicity case)

$$\{F, G\} = \sum_i \int d\tilde{x} \left(\frac{\delta F}{\delta P_i} \frac{\delta G}{\delta Q_i} - \frac{\delta F}{\delta Q_i} \frac{\delta G}{\delta P_i} \right)$$

canonical version of previous bracket.

2. Canonical equations of motion

From $\dot{y} = \{y, H\}$, find

$$[\dot{Q}_1, Q_2] + [Q_1, \dot{Q}_2] = \{[Q_1, Q_2], H\}$$

$$= \left[\frac{\delta H}{\delta P_1}, Q_2 \right] + \left[Q_1, \frac{\delta H}{\delta P_2} \right]$$

Hence

$$\dot{Q}_i = \frac{\delta H}{\delta P_i} \quad i = 1, 2$$

Similarly,

$$\dot{P}_i = - \frac{\delta H}{\delta Q_i} \quad i = 1, 2$$

Explicit forms: let

$$\frac{d}{dt} \equiv \frac{\partial}{\partial t} + \hat{z} \times \nabla \phi \cdot \nabla, \quad \hat{z} = \hat{x} \nabla^2 \phi$$

Then

$$\frac{dQ_i}{dt} = 0, \quad \frac{dP_1}{dt} = \nabla \cdot (\hat{z} \times \nabla Q_2), \quad \frac{dP_2}{dt} = \nabla \cdot (\hat{z} \times \nabla Q_1)$$

3. Interpretation

Can choose

$$\underline{V} = - \sum_i P_i \underline{\nabla} Q_i$$

provided

$$\sum_\lambda (\underline{\nabla} P_\lambda \cdot \underline{\nabla} Q_\lambda + P_\lambda \nabla^2 Q_\lambda) = 0$$

since $\underline{\nabla} \cdot (\hat{z} \times \underline{\nabla} \phi) = 0$.

Then

- (i) Q_i are "Frozen-in" coordinates
- (ii) P_i are covariant components
of \underline{V} , in (Q_1, Q_2, z) system.
- (iii) equations of motion become

$$\frac{dP_i}{dt} = -\gamma \frac{\partial J}{\partial Q_i}$$

IV APPLICATIONS

A. Liouville Theorem in function space
(equilibrium stat. mech. ?)

B. Automatic Energy Conserving Approx.
upon discretization and truncation.
(possible numerical advantage to
canonical form?)