9I 3 82F: A Generalized Energy Principle for Determining Linear and Nonlinear Stability.*

P. J. MORRISON,** Institute for Fusion Studies, The University of Texas at Austin.

A generalization of the ideal MHD energy principle, δW , is presented. The generalization is applicable to the equilibria of all of the basic nondissipative plasma models. Thus, for example, one can treat fluid equilibria with flow, models with finite Larmour radius effects, and kinetic theories. The δW energy principle arises because the perturbed Hamiltonian for static MHD equilibria has kinetic and potential energy terms of standard form, in which case (Liapunov) stability is determined by the potential alone. More generally the Hamiltonian structure of plasma models in Eulerian variables is noncanonical¹ and the Hamiltonian is not of standard form. Nevertheless, there is a generalization of the Hamiltonian, a generalized free energy (F), that has equilibria as stationary points and for which definiteness of the second variation, $\delta^2 F$, is sufficient for stability². This definiteness of $\delta^2 F$ is a more dependable criterion for practical stability than conventional linear spectral stability. Indeed, sometimes spectral theory is highly misleading because nonlinear instability for arbitrarily small perturbations can arise. This can occur when $\delta^2 F$ is indefinite, yet spectral stability theory indicates stability. Physically, $\delta^2 F$ - not the second variation of the "usual" energy-is the appropriate perturbed energy. Identifying the appropriate energy yields a new, more general, definition of a negative energy mode: indefiniteness of $\delta^2 F$ in much the same spirit as δW ; i.e. insert trial functions and then vary parameters to search for indefiniteness. A further test is used to distinguish linear instability from negative energy modes. Note that $\delta^2 F$ is applicable even if the dielectric functional is intractable or not even defined. Many examples are available. In particular the $\delta^2 F$ velocity thresholds for fluid and kinetic streaming instabilities are lower than those of conventional linear theory. Also MHD equilibria with flow and FLR effects³ have been treated.

*Supported by the U.S. Department of Energy under Contract #DE FG05-80ET-53088.

**In collaboration with M. Kotschenreuther.

¹P.J. Morrison and J.M. Greene, Phys. Rev. Lett. 45, 790 (1980).

²D.D. Holm, J.E. Marsden, T. Ratiu and A. Weinstein, Phys. Rep. **123**, 3 (1985); R.D. Hazeltine, D.D. Holm, J.E. Marsden and P.J. Morrison, Proc. ICPP Lausanne 2, 204 (1984); P.J. Morrison and S. Eliezer, Phys. Rev. A **33**, 4205 (1986).

³C. T. Hsu, et al., see abstract this meeting.

91 4 Dynamics of Resonant Magnetic Perturbations in Toroidal Plasmas with Low Collisionality.*[†] MICHAEL KOTSCHENREUTHER, Institute for Fusion Studies, The University of Texas at Austin.

Neoclassical effects are shown to strongly modify the dynamics of resonant magnetic perturbations, when the mean free path is long. The analysis begins by rigorously deriving reduced nonlinear fluid equations to describe the region near a rational surface using kinetic theory. Novel effects of the neoclassical terms are demonstrated both analytically and by numerical simulation of the fluid equations¹. These equations are derived using a systematic two scale expansion in the parallel gradients, which is the kinetic analog of previous MHD calculations in toroidal geometry². Strong rotational damping and bootstrap current effects arise, as previously discussed by Callen and Shaing³. New effects considered include 1) Large self-consistent plasma currents are shown to arise in magnetic islands and stochasticity when the neoclassical transport is not intrinsically ambipolar. In stellarator fusion reactor regimes, large steady state resonant magnetic perturbations (e.g. from equilibrium Pfirsch-Schluter currents or coil errors) can be strongly reduced or "healed". The linear and nonlinear stability of low and moderate m tearing and interchange modes is also affected. 2) In tokamaks, an analysis of nonlinear Rutherford Island evolution shows that bootstrap current effects contribute a destabilizing term⁴. The destabilizing term dominates for island widths smaller than q $\beta/\Delta'\sqrt{\epsilon}$. Overlap of the resulting moderate-m islands can seriously degrade confinement for $\beta > 1\%$.

¹M. Kotschenreuther and A.Y. Aydemir, Institute for Fusion Studies Report.

²M. Kotschenreuther, R.D. Hazeltine and P.J. Morrison, Phys. Fluids 28, 294 (1985).

³J.D. Callen and K.C. Shaing, Bull. Am. Phys. Soc. Vol. 30, 1424 (1985).

⁴R. Carrera, R.D. Hazeltine and M. Kotschenreuther, Phys. Fluids 29, 899 (1986).

*In collaboration with A. Y. Aydemir

†Work supported by the U.S. Department of Energy.

91 5 Nonlinear Periodic Waves in Plasma Physics.* [†] E. R. TRACY, College of William and Mary.

During the study of the nonlinear aspects of plasma behavior one often turns to simplified models with the hope of gaining deeper insight than can be gotten by a direct approach on the full problem. Such models retain many of the relevant physical properties of the full system, but are more amenable to study. A number of important nonlinear models (the so-called soliton systems) have the added bonus of being exactly solvable so that, in principle, we can answer rigorously whatever physical questions we wish to pose. Many scientists are familiar with soliton systems on the infinite line (i.e. when the wave disturbances are localized in space). However, the recent progress made in the study of these systems with periodic boundary conditions, which are also physically important, is much less familiar. Many important new physical affects appear and new techniques have been developed for their investigation. For example: the nonlinear Schrodinger equation arises commonly in the study of modulational problems. On the infinite line this system is stable, but with periodic boundary conditions solutions exhibit instabilities. Recent progress in the study of important nonlinear boundary value problems will also be discussed. Examples to be discussed include the Sinh-Poisson equation¹ - which arises in the study of two dimensional guiding center plasmas, and the Liouville equation - which occurs in the study of ideal two dimensional MHD equilibria. Also to be discussed are nonintegrable models which exhibit chaotic solitons².

A. C. Ting, PhD. Thesis, University of Maryland (1984).
 S. N. Qian, PhD. Thesis, University of Maryland (1986).

*This work was carried out in collaboration with H. H. Chen and Y. C. Lee of the University of Maryland.

This work was supported by NSF, ONR and the College of William and Mary.

S'F: A Generalized

Energy Principle

Philip Morrison Nov. 7, 1986 IFS & Phys. Dept. APS Baltimore UT Austin Collaborators: R. Hazeltine, C. How &

M. Kotschenreuther

Overview

Noncanonical Mechanics

stability

Negative Energy Modes

Examples

emphasis reduced fluid models w/ flow

Why Moncanonical Mechanics?
Harmonic Oscillator
Energy:
$$H = \frac{1}{2}(p^2 + q^2)$$
 quadratic
Dynamics: $\ddot{q} = -kq$ linear
Eulerian Variable Field
Evergy: $H = \int \frac{1}{2}gu^2dz$ quadratic
Dynamics: $\vec{v}_{\pm} \sim \vec{v} \cdot \nabla \vec{v}$ quadratic
Dynamics: $\vec{v}_{\pm} \sim \vec{v} \cdot \nabla \vec{v}$ quadratic
General feature of media described by
Eulerian variables: Noncanonically Hamiltonian
invicid fluids, ideal MHD, ideal 2-fluid
Maxwell-Vlasou, Liciuville,...
Nonlinearity hides in Poisson bracket:

(3

5. Lie (1890), Dirac & others Noncanonical or II. Generalized Hamiltonian Mechanics (finite N) Hamiltons Eqs. : $\hat{q}_{R} = \frac{\partial H}{\partial P_{R}} = [\hat{q}_{R}, H]$ k=1,2,...N $\dot{P}_{R} = -\frac{\partial H}{\partial Q_{-}} = [P_{R}, H]$ Poisson Bracket: $[f,9] = \sum_{i=1}^{n} \left(\frac{\partial f}{\partial q} - \frac{\partial g}{\partial q} - \frac{\partial g}{\partial q} - \frac{\partial f}{\partial q} \right)$ Casymplectic Form i=1,2,... N=k $Z^{\perp} = \begin{cases} \mathbf{q}_{\mathbf{k}} \\ \mathbf{p}_{-} \end{cases}$ let i= R+N=N+1,... 2N obtain $[f, d] = \overline{\partial f} \quad \underline{J}_{v,v}^{s} \quad \overline{\partial d}$

 $(J_c^{ij}) = \begin{bmatrix} O & I_N \\ -I_N & O \end{bmatrix}$

$$\dot{z}^{i} = J_{e}^{ij} \underbrace{\partial H}_{\partial z^{j}} = [z^{i}, H]$$

dynamics

kinematics or phase space



■ STABILITY

Spectral

 $\psi = \psi_e + S \psi e^{-\omega t}$

linearize - Im CU < 0 ? Im w = 0 stable

Linear Stability

secular growth - Linear eqs. stable

Nonlinearly Stable

Formal Stability Liapunov Function - S'F definite

Nonlinear Stability

Definition. An equilibrium the is nonlinearly stable if for all E>0 there is a \$>0 such that for $\Psi(t=0) = \Psi e + \Delta \Psi(t=0)$ with 11 DY 11 < 8 (at ==0), then 1/ AU /1 < E for all time.

Dynamics determined by nonlinear equations (AY finite) requires norm.



Liapunov Stability Surfaces defined by
 Constant of motion $F(z) = F(ze) + \frac{\partial F(ze)}{\partial z} (z - ze)$ equilibrium $+ \frac{\partial F}{\partial z^2} (\frac{z-ze}{z})_{+} \dots$ Hamiltonian Systems Have "Built In" Candidates for Liapunov Functions Standard Hamiltonian: $H = \sum \frac{p_2^2}{r_1^2} + V(q)$ 22V >0 Arbitrary Hamiltonian: Lagrange Condition $\frac{\partial^2 H}{\partial q_i \partial q_i}$ definite Dirchelet Condition Noncanonical Hamiltonian: F=H+C $\frac{\partial^2 F}{\partial z_i \partial z_j}$ definite

S²F Stability $\frac{SF[\psi_e]=0}{\delta\psi^i}$ dF = 0dtdefinite? $S^{2}F = \int S\psi^{i} \frac{S^{2}F}{S\psi^{i}} S\psi^{i}$ $\frac{\partial \Psi}{\partial t} = \{\Psi, H\} = \{\Psi, F\} = O^{3} \frac{\delta(H+C)}{\delta \Psi^{3}}$ $\frac{S(HHC)}{S\psi^{\prime}} = 0 \implies \frac{\partial\psi^{\prime}}{\partial t} = 0$ More General Equil. Obermand Kruskal, Rosenbluth, Gardnen Arnold Recently Marsdon & Weinstein et al. Hazeltine & PJM Kotschenreuther مستعقب والمتعادين e produktion de la companya de la co

Two Things of Interest * Disagreement between S2F and Nonlinear Stability (only for or dima systems) * Disagreement between S2F and Spectral Stability (Negative energy modes) indefiniteness of S2F can mean two things : * linear instability * negative energy modes Both can be disastarous

PERTURBED ENERGY $Z' = J^{j}(z) \frac{\partial F}{\partial z^{j}}$ Linearize: Z = Ze + SZ Equilibrium: $\partial F(z_e) = 0$ ∂z_j Generally <u>dH(Ze)</u> = 0 <u>dZj</u> or yields trivial equilibria. $Sz' = J^{is}(z_e) \frac{\partial^2 F(z_e)}{\partial z_i \partial z^k}$ = {Sz^, SF, Perturbed Hamiltonian SF Not Sit ! What is the energy.

 $S^{2}F/2 = Free Energy$ 85" Phase Space We add a source term and pull the system away from equilibrium. SZ , is the only relevant part Since SZI Changes the equil. Thermodynamic analogy: dw=dU+TdS Let H -> H + Hex(=) input SZ ... SZ. $H_{ext} = Z^{3}S_{i}(t) (= 9F_{ext}(t))$ $\Delta H_c = -\int_{z_j}^{z_j} S_j(t) dt = \frac{S^2 F}{Z}$

Negative Energy Modes For systems with finite # of degrees of freedom or complete discrete spectra S²F/2 can be written in action-angle form



Real Spectrum ¥ Indefinite 8²F

THE DANGER OF SPECTRAL THEORY (Cherry's Example) O.d. e.s Linearly (spectrally $Z_1 = Z_2 - \chi (Z_2 Z_3 + Z_1 Z_4)$ stable yet unstable $Z_2 = -Z_1 + \alpha (Z_2 Z_4 - Z_1 Z_3)$ $Z_3 = -2Z_4 - KZ_1Z_2$ $Z_4 = 2Z_2 + \frac{1}{2} \left(Z_2^2 - Z_1^2 \right)$ linear analysis => real frequencies, vet unstable. <u>Solution diverges in finite time</u>. Explosie instab. $w_1 = 1$ $w_2 = -2$ Cherry's Hamiltonian: $H = \frac{1}{2}(P_{1}^{2}+P_{1}^{2}) - (P_{2}^{2}+P_{2}^{2})$ +× 192(9,2-P,2)-29, P,P2 Two features: (i) D(3) resonance : 26, + 62 = 0 1 viri) Negative energy mode generic behavior S²F indesinite

II. Two-Strew Instability (warm ions & electrons)

$$\frac{\partial U_{x}}{\partial t} + U_{x} \frac{\partial U_{x}}{\partial x} = \frac{e_{x}}{m_{x}} \equiv -\frac{1}{f_{x}} \frac{\partial F_{x}}{\partial x}$$

$$\frac{\partial T_{x}}{\partial t} + \frac{\partial}{\partial x} (m_{x} U_{x}) = D$$

$$\frac{\partial E}{\partial x} = 4\pi e (m_{x} - m_{e})$$

$$\frac{\partial E}{\partial t} = 4\pi e (m_{x} - m_{e})$$

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Euler Equation 2-Dim U(X,Y,t) = Vorticity $\Phi(X,Y,t) \equiv$ Stream Function $\nabla^2 \phi = U$ $\vec{U} = \hat{z} \times \nabla \phi$ $U_{t} = -\vec{U} \cdot \nabla U = -[\phi, v] = \vec{z} \cdot v \phi_{X} v_{t}$ $H = \int_{2}^{1} |\nabla \phi|^2$ $C = \int \mathcal{F}(U)$ F = H + C $\delta F = 0$ $\phi = \exists (\upsilon) \qquad \nabla^2 \phi = \exists (\phi)$ $\left| S^2 F = \int |\nabla S \phi|^2 + \exists'(U)(SU)^2 \right|$ Stability if 3">0 \Leftrightarrow Arnolds $\frac{\nabla \phi \cdot \nabla U}{|\nabla \phi|^2} > 0$ Theorem

Plane Parallel Flow (K-H instab.)

$$\vec{v} = v(x) \hat{\gamma} = v_0 \tanh(\beta x) \hat{\gamma}$$

 $\hat{\chi}^{\dagger}$
 $\hat{\chi}^{\dagger}$
Rayleigh - Arnold Condition
 $\frac{v_{xx}}{v} > 0$ for Stability
For tanh Always negative
No threshold
Rosenbluth - Simon Condition
 $\frac{L\beta c}{c} = 2.39$
Unstable iff $\beta > \beta c$
For linear/spectral stability

Low-B RMHD (axion helical sym.) $\vec{B}_{p} = -\vec{z} \times \nabla \Psi$ $\vec{B}_{\parallel} = B_{o} \hat{z} = const.$ $\nabla^2 \Psi = J$ vorticity $U_{t} = [U, \phi] - [J, \Psi]$ 11-Ohms Law $\Psi_{\pm} = [\Psi, \phi]$ $C_{1} = (\exists (\psi)$ $H = \int |\nabla \phi|^2 + |\nabla \psi|^2$ $C_2 = \langle U G(\Psi) \rangle$ Plane Parallel Flow (w/B) Modified R-A condition (B = -4x) $\left|\left(1-\frac{1}{B}\right)\stackrel{B_{XX}}{=} -2\left(\frac{1}{B}\right)^{2}\left(\frac{1}{C}-\frac{1}{B}\right)\stackrel{B_{X}}{=} > 0$ $\psi = \frac{b_0}{B} \cosh 3X$ U= Vo tanh BX Subalfvenic: m² > 50/2 $m^2\left(\frac{2-3m}{2-m}\right) \prec \frac{5}{h^2} \prec m^2$

$$\frac{RMHD (cont.) - General Equil.}{F = \int \frac{1}{2} |\nabla \phi|^2 + \frac{1}{2} |\nabla \psi|^2 + \frac{1}{2} |\nabla \psi|^2 + \frac{1}{2} |\Psi| + UG(\Psi)}{SF = 0 \implies Flow Modified Reduced Grad-Shafranov Eq. 7}$$

$$\begin{bmatrix} [1 - G'(\Psi)] \overline{\nabla} \Psi - G'(\Psi) G''(\Psi) |\nabla \Psi|^2 = \frac{1}{2} (\Psi) \\ \phi = G(\Psi) \end{bmatrix}$$
Note: (i) $G' = -\frac{1}{B}$ poloidal Alfven Sing. $\pm G' = 1$
(ii) $\phi = \pm \Psi$ Nonlinear Alfven Wave, $\frac{1}{2} \times \Psi$
(iii) $G = 0$
 $\nabla^2 \Psi = J(\Psi) = \frac{1}{2} (\Psi)$
Interesting Transformation:
 $X = \int \frac{\Psi}{1 - G''(\Psi)} d\Psi'$
 $\nabla^2 X = \frac{1}{2} \int \chi = \frac{1}{2} (\chi)$

e e

RMHD (cont.) - S²F



Poloidal Flow $S^{2}F = \int |\nabla S \phi - \nabla G' S \psi|^{2} + |\nabla S \psi|^{2} \left(1 - \frac{U^{2}}{B_{p}^{2}}\right)$ N.L. Alfven Wave Line Bending w/ Flow $+ \frac{(S\psi)^{2}}{B_{p}^{2}} \left\{ \begin{array}{c} \overrightarrow{B}_{p} \times \overrightarrow{z} \cdot \nabla J_{ll} \left(1 - \underbrace{\overrightarrow{U}}{B_{p}^{2}}\right) - \frac{1}{Z} \left[\overrightarrow{B}_{p} \times \overrightarrow{z} \cdot \nabla \underbrace{\overrightarrow{U}}{B_{p}^{2}} \right] \left[\overrightarrow{B}_{p} \times \overrightarrow{z} \cdot \nabla B_{p}^{2} \right] \right\}$ Flow Modified Ram pressure modification Kink

CRMHD

U = parallel velocity p = pressure h = r coso Vh = R = curvature $U_{+} = [U, \phi] + [\psi, J] + 2[P, h]$ $\Psi_{t} = [\Psi, \phi]$ $\mathcal{V}_{t} = [\mathcal{V}, \phi] + [\mathcal{V}, \rho]$ $P_{\pm} = [P, \phi] + \beta [\psi v] + 2\beta [h, \phi]$ L compressibility 11 compressibility $H = \int \frac{1}{2} |\nabla \phi|^{2} + \frac{1}{2} + \frac{1}{2}$ $C_1 = \int \exists (\Psi) \qquad C_2 = \int \forall N(\Psi) \qquad C_3 = \int \angle (\Psi) (\pounds + 2h)$ $C_{4} = \int G(\Psi) U - U - U G(\Psi) (P_{\beta} + 2h)$

S'F W/O Flow for CRMHD $F = H + C_1 + C_3$ $F = \int \frac{|\nabla \psi|^2}{2} + \frac{|\nabla \psi|^2}{2} + \frac{\psi^2}{2} + \frac{f^2}{2} + \frac{f^2}{2} + \frac{f(\psi)}{2} + L(\psi)(\rho + 2h)$ δF=0 ⇒ No flow $\varphi = -L(\psi)$ $\nabla^2 \Psi = \exists'(\Psi) - p'(\Psi) \left[\frac{p(\Psi)}{B} + 2h \right]$ $S^{2}F = \int \frac{(Sv)^{2} + |PS\phi|^{2}}{Line Bending}$ Line Bending $\frac{1}{\beta} \left[Sp - \frac{\widehat{z} \times \widehat{B} \cdot \nabla \rho}{\mathbb{R}^2} S_{\psi} \right]^2 + \left(S_{\psi} \right)^2 \left[\frac{\widehat{z} \times \widehat{B}}{\mathbb{R}^2} \cdot \nabla J_{\mu} \right]$ Sound ~ V.5 Kink + $(\delta \psi)^2 \left[2 \left(\overline{z} \times \overline{B} \cdot rp \right) \left(\overline{z} \times \overline{B} \cdot rh \right) \right]$ interchange

CRMHD w/ Toroidal Flow $F = H + C_1 + C_2 + C_3$ $F = \int \frac{U^{2} + |V\phi|^{2} + |V\psi|^{2} + \frac{P}{2} + \frac{P}{2} + \frac{F}{2} + \frac{F}{2}(\mu) + \frac{U(\mu)}{2} + \frac{F}{2} + \frac{F}{2}(\mu) + \frac{F}{2} + \frac{F}{2}(\mu) + \frac{F}{2}$ + L(4)(P/3+zh) δF=0 ⇒ $\mathcal{T} = -N(\Psi)$ $-L(\Psi)$ $\nabla^2 \Psi = \exists'(\Psi) - p'(\Psi) \left(\frac{p(\Psi)}{B} + 2h \right)$ い(4) い(4) $S^{*}F = \left[\left| \nabla S \varphi \right|^{2} + \left(S \upsilon - \frac{2}{2} \times \overline{B} \cdot \nabla \upsilon S \psi \right)^{2} \right]$ $+\frac{1}{3}\left(\delta\rho-\frac{2}{R^{2}}\times\frac{1}{R^{2}}\cdot\nabla\rho\delta\psi\right)^{2}+|\nabla\delta\psi|^{2}$ $+(\delta \psi)^{2} \left(\underbrace{\Xi \times \overline{B}}_{\overline{B^{2}}}, \forall J_{1} + 2(\underbrace{\Xi \times \overline{B}}_{\overline{B^{2}}}, \forall p)(\Xi \times \overline{B}, \nabla h) \right)$ interchange Kink Flow not destabilizing! But UN VAP.

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