

1. First, a reading assignment: chapter 3 of *Modern Quantum Mechanics* by J. J. Sakurai, sections 1, 2, 3, second half of section 5 (representations of the rotation operators), and section 10. The other sections 4, 6, 7, 8, and 9 are not relevant to the present class material. The main focus of this assignment are the relations between the rotations and the angular momenta $\hat{J}^{x,y,z}$.

PS: If you have read the Sakurai's book before but it has been a while, please read it again.

2. The rest of this homework is about the Lorentz group and its representations.

The continuous Lorentz group $SO^+(3, 1)$ has 6 generators $\hat{J}^{\mu\nu} = -\hat{J}^{\nu\mu}$ satisfying

$$[\hat{J}^{\alpha\beta}, \hat{J}^{\mu\nu}] = ig^{\beta\mu} \hat{J}^{\alpha\nu} - ig^{\alpha\mu} \hat{J}^{\beta\nu} - ig^{\beta\nu} \hat{J}^{\alpha\mu} + ig^{\alpha\nu} \hat{J}^{\beta\mu}. \quad (1)$$

In 3D terms, the generators comprise three angular momenta $\hat{J}^i = \frac{1}{2}\epsilon^{ijk} \hat{J}^{jk}$ — which generate the rotation of space — plus 3 generators $\hat{K}^i = \hat{J}^{0i} = -\hat{J}^{i0}$ of the Lorentz boosts.

- (a) Show that in 3D terms, the commutation relations (1) become

$$[\hat{J}^i, \hat{J}^j] = i\epsilon^{ijk} \hat{J}^k, \quad [\hat{J}^i, \hat{K}^j] = i\epsilon^{ijk} \hat{K}^k, \quad [\hat{K}^i, \hat{K}^j] = -i\epsilon^{ijk} \hat{J}^k. \quad (2)$$

Lorentz symmetry dictates commutation relations of $\hat{J}^{\mu\nu}$ with any operators comprising a Lorentz multiplet. In particular, for any Lorentz vector \hat{V}^μ

$$[\hat{V}^\lambda, \hat{J}^{\mu\nu}] = ig^{\lambda\mu} \hat{V}^\nu - ig^{\lambda\nu} \hat{V}^\mu. \quad (3)$$

- (b) Spell out these commutation relations in 3D terms, then use them to show that the Lorentz boost generators $\hat{\mathbf{K}}$ do not commute with the Hamiltonian \hat{H} .
- (c) Show that even in the non-relativistic limit, the Galilean boosts $t' = t$, $\mathbf{x}' = \mathbf{x} + \mathbf{v}t$ and their generators $\hat{\mathbf{K}}_G$ do not commute with the Hamiltonian.

In general, the *time-independent* symmetries commute with the Hamiltonian. But when the action of a symmetry is manifestly time dependent — like a Galilean boost $\mathbf{x}' = \mathbf{x} + \mathbf{v}t$ or a Lorentz boost — the symmetry operators do not commute with the time evolution and hence with the Hamiltonian.

Next, consider the little group $G(p)$ of Lorentz transforms preserving the momentum vector p^μ of some massive particle, $p_\mu p^\mu = m^2 > 0$. For simplicity, assume the particle moves in z direction with velocity β , thus $p^\mu = (E, 0, 0, p)$ for $E = \gamma m$ and $p = \beta\gamma m$.

(d) Show that there 3 independent combination of \hat{J}^i and \hat{K}^i preserving this momentum, namely

$$\tilde{J}^1 = \gamma\hat{J}^1 - \beta\gamma\hat{K}^2, \quad \tilde{J}^2 = \gamma\hat{J}^2 + \beta\gamma\hat{K}^1, \quad \text{and} \quad \tilde{J}^3. \quad (4)$$

Also show that these three combinations have angular-momentum-like commutators with each other, $[\tilde{J}^i, \tilde{J}^j] = i\epsilon^{ijk}\tilde{J}^k$.

For a massless particle, $\gamma = \infty$ so eqs. (4) do not apply. Instead, the little group of momentum $p^\mu = (E, 0, 0, E)$ is generated by the

$$\hat{I}^1 = \hat{J}^1 - \hat{K}^2, \quad \hat{I}^2 = \hat{J}^2 + \hat{K}^1, \quad \text{and} \quad \hat{J}^3, \quad (5)$$

which obey the ISO(2) commutation relations

$$[\hat{J}^3, \hat{I}^1] = +i\hat{I}^2, \quad [\hat{J}^3, \hat{I}^2] = +i\hat{I}^1, \quad [\hat{I}^1, \hat{I}^2] = 0. \quad (6)$$

(e) Prove this.

As discussed in class, the finite unitary multiplets of the ISO(2) group generated by the (5) are singlets $|\lambda\rangle$, which are eigenstates of the helicity operator \hat{J}^3 (for the momentum in z direction) and are annihilated by the $\hat{I}^{1,2}$ operators,

$$\hat{J}^3 |\lambda\rangle = \lambda |\lambda\rangle, \quad \hat{I}^1 |\lambda\rangle = 0, \quad \hat{I}^2 |\lambda\rangle = 0. \quad (7)$$

(f) Show that in 4D terms the state $|p, \lambda\rangle$ of a *massless* particle satisfies

$$\epsilon_{\alpha\beta\gamma\delta}\hat{J}^{\beta\gamma}\hat{P}^\delta |p, \lambda\rangle = -2\lambda\hat{P}_\alpha |p, \lambda\rangle. \quad (8)$$

(g) Use this formula to show that *continuous* Lorentz transforms do not change helicities of

massless particles,

$$\forall L \in \text{SO}^+(3, 1), \quad \widehat{\mathcal{D}}(L) |p, \lambda\rangle = |Lp, \text{same } \lambda\rangle \times e^{i \text{phase}}. \quad (9)$$

3. While particle states belong to infinite but unitary multiplets of the Lorentz group, the quantum fields form finite but non-unitary multiplets. In this problem we shall classify all such multiplets of the $\text{SO}^+(3, 1)$ group, or rather of its double cover $\text{Spin}(3, 1) \cong \text{SL}(2, \mathbf{C})$.

(a) Let's re-organize the $\hat{\mathbf{J}}$ and $\hat{\mathbf{K}}$ generators of the continuous Lorentz group into two non-hermitian 3-vectors

$$\hat{\mathbf{J}}_+ = \frac{1}{2}(\hat{\mathbf{J}} + i\hat{\mathbf{K}}) \quad \text{and} \quad \hat{\mathbf{J}}_- = \frac{1}{2}(\hat{\mathbf{J}} - i\hat{\mathbf{K}}) = \hat{\mathbf{J}}_+^\dagger. \quad (10)$$

Show that the two 3-vectors commute with each other, $[\hat{J}_+^k, \hat{J}_-^\ell] = 0$, while the components of each 3-vector satisfy angular momentum commutation relations, $[\hat{J}_+^k, \hat{J}_+^\ell] = i\epsilon^{k\ell m} \hat{J}_+^m$ and $[\hat{J}_-^k, \hat{J}_-^\ell] = i\epsilon^{k\ell m} \hat{J}_-^m$.

By themselves, the 3 \hat{J}_+^k generate a symmetry group similar to rotations of a 3D space, but since the \hat{J}_+^k are non-hermitian, the finite irreducible multiplets of this symmetry are non-unitary analytic continuations (to complex ‘‘angles’’) of the ordinary angular momentum multiplets (j) of spin $j = 0, \frac{1}{2}, 1, \frac{3}{2}, 2, \dots$. Likewise, the finite irreducible multiplets of the symmetry group generated by the \hat{J}_-^k are analytic continuations of the spin- j multiplets of angular momentum. Moreover, the two symmetry groups commute with each other, so the finite irreducible multiplets of the net Lorentz symmetry are tensor products $(j_+) \otimes (j_-)$ of the $\hat{\mathbf{J}}_+$ and $\hat{\mathbf{J}}_-$ multiplets. In other words, distinct finite irreducible multiplets of the Lorentz symmetry may be labeled by *two* integer or half-integer ‘spins’ j_+ and j_- , while the states within such a multiplet are $|j_+, j_-, m_+, m_-\rangle$ for $m_+ = -j_+, \dots, +j_+$ and $m_- = -j_-, \dots, +j_-$.

The simplest non-trivial Lorentz multiplet $\mathbf{2}$ has $j_+ = \frac{1}{2}$ while $j_- = 0$. In this two-component multiplet $\hat{\mathbf{J}}_+ = \frac{1}{2}\boldsymbol{\sigma}$ while $\hat{\mathbf{J}}_- = 0$, or in terms of $\hat{\mathbf{J}}$ and $\hat{\mathbf{K}}$, $\hat{\mathbf{J}} = \frac{1}{2}\boldsymbol{\sigma}$ while $\hat{\mathbf{K}} = -\frac{i}{2}\boldsymbol{\sigma}$. Consequently, the finite Lorentz transforms in this multiplet are represented by

2×2 matrices of the form

$$M = \exp\left(-i\mathbf{a} \cdot \hat{\mathbf{J}} - i\mathbf{b} \cdot \hat{\mathbf{K}}\right) = \exp\left(\frac{1}{2}(-i\mathbf{a} + \mathbf{b}) \cdot \boldsymbol{\sigma}\right). \quad (11)$$

for some real 3-vectors \mathbf{a} and \mathbf{b} . The \mathbf{a} vector here parametrizes a rotation of 3D space while the \mathbf{b} vector parametrizes a Lorentz boost; a general continuous Lorentz transform involves both. The matrices (11) always have unit determinant, $\det(M) = 1$, but there are no other general restrictions: a generic M is complex, non-unitary, non-hermitian, *etc.*, *etc.* The group of such 2×2 complex matrices is called $SL(2, \mathbf{C})$.

The Lorentz group has another *in-equivalent* two-component multiplet $\bar{\mathbf{2}}$ with $j_+ = 0$ and $j_- = \frac{1}{2}$. In this multiplet $\hat{\mathbf{J}}$ acts as $\frac{1}{2}\boldsymbol{\sigma}$ but $\hat{\mathbf{K}}$ acts as $+\frac{i}{2}\boldsymbol{\sigma}$, hence a finite Lorentz transform with the same parameters \mathbf{a} and \mathbf{b} as in eq. (11) is represented by a different 2×2 matrix

$$\bar{M} = \exp\left(\frac{1}{2}(-i\mathbf{a} - \mathbf{b}) \cdot \boldsymbol{\sigma}\right). \quad (12)$$

Generally this matrix is in-equivalent to M but rather equivalent to the complex conjugate of M ,

$$\bar{M} = \left(M^\dagger\right)^{-1} = \sigma_2 M^* \sigma_2. \quad (13)$$

(b) Prove this relation for any \mathbf{a} and \mathbf{b} .

Hint: prove and use $\sigma_2 \boldsymbol{\sigma}^* \sigma_2 = -\boldsymbol{\sigma}$.

For pure rotations of 3D space, M is unitary and $\bar{M} = M$. For pure Lorentz boosts, M is hermitian and $\bar{M} = M^{-1}$. We shall prove both statements later in this exercise.

Later in class we shall study in great detail the Dirac spinor fields that form a reducible $\mathbf{2} + \bar{\mathbf{2}}$ multiplet. There are also Weyl spinor fields that form irreducible $\mathbf{2}$ or $\bar{\mathbf{2}}$ multiplets. There will be future homeworks about those spinors, but for now let's consider the other Lorentz multiplets.

In the ordinary $\text{Spin}(3) = SU(2)$ group, one can construct a multiplet of any spin j from a symmetric tensor product of $2j$ doublets. This procedure gives us an object $\Phi_{\alpha_1, \dots, \alpha_{2j}}$

with $2j$ spinor indices $\alpha_1, \dots, \alpha_{2j} = 1, 2$ that's totally symmetric under permutation of those indices and transforms under an $SU(2)$ symmetry U as

$$\Phi_{\alpha_1, \alpha_2, \dots, \alpha_{2j}} \rightarrow U_{\alpha_1}^{\beta_1} U_{\alpha_2}^{\beta_2} \dots U_{\alpha_{2j}}^{\beta_{2j}} \Phi_{\beta_1, \beta_2, \dots, \beta_{2j}}. \quad (14)$$

For integer j , such objects are equivalent to tensors of the $SO(3)$; for example, for $j = 2$ $\Phi_{\alpha\beta} \equiv \Phi_{\beta\alpha}$ is equivalent to an $SO(3)$ vector $\vec{\Phi}$.

In the Lorentz group $\text{Spin}(3, 1)$ we have a similar situation — any multiplet can be constructed by tensoring together a bunch of two-component spinors of the $SL(2, \mathbf{C})$. But unlike the $SU(2)$, the $SL(2, \mathbf{C})$ has two different spinors $\mathbf{2} \not\cong \bar{\mathbf{2}}$ transforming under different rules. Notationally, we shall distinguish them by different index types: the un-dotted Greek indices belong to spinor that transform according to $M \in SL(2, \mathbf{C})$ while the dotted Greek indices belong to spinors that transform according to M^* (which is equivalent to \bar{M}),

$$\Phi_\alpha \rightarrow M_\alpha^\beta \Phi_\beta \quad \not\cong \quad \Phi_{\dot{\gamma}} \rightarrow M_{\dot{\gamma}}^{*\dot{\delta}} \Phi_{\dot{\delta}}. \quad (15)$$

Combining such spinors to make a multiplet with ‘spins’ j_+ and j_- , we make an object $\Phi_{\alpha_1, \dots, \alpha_{(2j_+)}; \dot{\gamma}_1, \dots, \dot{\gamma}_{(2j_-)}}$ with $2j_+$ un-dotted indices and $2j_-$ dotted indices. Φ_{\dots} is totally symmetric under permutations of the un-dotted indices with each other or dotted indices with each other, but there is no symmetry between indices of different types. Under an $SL(2, \mathbf{C})$ symmetry M , the un-dotted indices transform according to M while the dotted indices transform according to the M^* , thus

$$\Phi_{\alpha_1, \dots, \alpha_{(2j_+)}; \dot{\gamma}_1, \dots, \dot{\gamma}_{(2j_-)}} \rightarrow M_{\alpha_1}^{\beta_1} \dots M_{\alpha_{(2j_+)}}^{\beta_{(2j_+)}} \times M_{\dot{\gamma}_1}^{*\dot{\delta}_1} \dots M_{\dot{\gamma}_{(2j_-)}}^{*\dot{\delta}_{(2j_-)}} \dots \times \Phi_{\beta_1, \dots, \beta_{(2j_+)}; \dot{\delta}_1, \dots, \dot{\delta}_{(2j_-)}}. \quad (16)$$

Of particular importance among such multi-spinors is the bi-spinor $V_{\alpha\dot{\gamma}}$ with $j_+ = j_- = \frac{1}{2}$ — it is equivalent to the Lorentz vector V^μ . The map between bi-spinors and Lorentz vectors involves four hermitian 2×2 matrices σ^μ , where σ^0 is the unit matrix while σ^1, σ^2 and σ^3 are the Pauli matrices. In $SL(2, \mathbf{C})$ terms, each σ^μ matrix has one dotted and one un-dotted

index, thus $\sigma_{\alpha\dot{\gamma}}^{\mu}$. Using the σ^{μ} , we may re-cast any Lorentz vector V^{μ} as a matrix

$$V^{\mu} \rightarrow V_{\mu}\sigma^{\mu} = V^0 - \mathbf{V} \cdot \boldsymbol{\sigma} \quad (17)$$

and hence as a $(\frac{1}{2}, \frac{1}{2})$ bi-spinor

$$V_{\alpha\dot{\gamma}} = (V_{\mu}\sigma^{\mu})_{\alpha\dot{\gamma}} = V^0\delta_{\alpha\dot{\gamma}} - \mathbf{V} \cdot \boldsymbol{\sigma}_{\alpha\dot{\gamma}}. \quad (18)$$

Under an $SL(2, \mathbf{C})$ symmetry, the bi-spinor transforms as

$$V_{\alpha\dot{\gamma}} \rightarrow V'_{\alpha\dot{\gamma}} = M_{\alpha}^{\beta} M_{\dot{\gamma}}^{*\dot{\delta}} V_{\beta\dot{\delta}}, \quad (19)$$

or in matrix form,

$$V_{\mu}\sigma^{\mu} \rightarrow V'_{\mu}\sigma^{\mu} = M (V_{\mu}\sigma^{\mu}) M^{\dagger}. \quad (20)$$

Since the four matrices σ^{μ} form a complete basis of 2×2 matrices, eq. (20) defines a linear transform $V'_{\mu} = L_{\mu}^{\nu} V_{\nu}$.

- (c) Prove that for any $SL(2, \mathbf{C})$ matrix M , the transform $L_{\mu}^{\nu}(M)$ defined by eq. (20) is real (real V'_{μ} for real V_{μ}), Lorentzian (preserves $V'_{\mu}V'^{\mu} = V_{\mu}V^{\mu}$) and orthochronous.

Hint: prove and use $\det(V_{\mu}\sigma^{\mu}) = V_{\mu}V^{\mu}$.

★ For extra challenge, show that this transform is proper, $\det(L) = +1$.

- (d) Verify that this $SL(2, \mathbf{C}) \rightarrow SO^+(3, 1)$ map respects the group law, $L(M_2 M_1) = L(M_2) L(M_1)$.
- (e) Verify explicitly that for a unitary $M = \exp(-\frac{i}{2}\theta \mathbf{n} \cdot \boldsymbol{\sigma})$, $L(M)$ is a rotation by angle θ around axis \mathbf{n} .
- (f) Likewise, verify that for an hermitian $M = \exp(\frac{1}{2}r \mathbf{n} \cdot \boldsymbol{\sigma})$, $L(M)$ is a boost of *rapidity* r in the direction \mathbf{n} .

FYI, the rapidity is related to the β and γ parameters of a Lorentz boost as $\beta = \tanh(r)$, $\gamma = \cosh(r)$. For two successive boosts in the same direction, their rapidities add up, $r_{\text{net}} = r_1 + r_2$.

In general, any (j_+, j_-) multiplet of the $SL(2, \mathbf{C})$ with integer net spin $j_+ + j_-$ is equivalent to some kind of a Lorentz tensor. (Here, we include the scalar and the vector among the tensors.) For example, the $(1, 1)$ multiplet is equivalent to a symmetric, traceless 2-index tensor $T^{\mu\nu} = T^{\nu\mu}$, $T^\mu{}_\mu = 0$. For $j_+ \neq j_-$ the representation is complex, but one can make a real tensor by combining two multiplets with opposite j_+ and j_- , for example the $(1, 0)$ and the $(0, 1)$ multiplets are together equivalent to the antisymmetric 2-index tensor $F^{\mu\nu} = -F^{\nu\mu}$.

(g) Verify the above examples.

Hint: For any kind of angular momentum, $(j = \frac{1}{2}) \otimes (j = \frac{1}{2}) = (j = 1) \oplus (j = 0)$.