Phase Space Factors

For quantum transitions to un-bound states — for example, an atom emitting a photon, or a radioactive decay, or scattering — which is a kind of unbound \rightarrow unbound transition, — the transition rate is given by the Fermi's golden rule:

$$\Gamma \stackrel{\text{def}}{=} \frac{d \operatorname{probability}}{d \operatorname{time}} = \frac{2\pi\rho}{\hbar} \times \left| \langle \operatorname{final} | \hat{T} | \operatorname{initial} \rangle \right|^2 \tag{1}$$

where $\hat{T} = \hat{H}_{\text{perturbation}} + \text{higher order corrections, and } \rho$ is the *density of final states*,

$$\rho = \frac{dN_{\text{final states}}}{dE_{\text{final}}}.$$
(2)

For an example, consider an atom emitting a photon of specific polarization λ . Using the large-box normalization for the photon's states, we have

$$dN_{\text{final}} = \left(\frac{L}{2\pi}\right)^3 d^3 \mathbf{k}_{\gamma} = \frac{L^3}{(2\pi)^3} \times k_{\gamma}^2 dk_{\gamma} d^2 \Omega_{\gamma}$$
(3)

while $dE_{\text{final}} = dE_{\gamma} = \hbar c \times dk_{\gamma}$, hence

$$\rho = L^3 \times \frac{k_{\gamma}^2}{(2\pi)^3 \hbar c} \times d^2 \Omega_{\gamma} \,. \tag{4}$$

The L^3 factor here cancels against the $L^{-3/2}$ factor in the matrix element $\langle \operatorname{atom}' + \gamma | \hat{T} | \operatorname{atom} \rangle$ due to the photon's wave function in the large-box normalization. As to the remaining $d^2\Omega_{\gamma}$ factor, we should integrate over it to get the total decay rate, or divide by it to get the partial emission rate $d\Gamma/d\Omega$ for the photons emitted in a particular direction, thus

$$\frac{d\Gamma(\lambda)}{d\Omega} = \frac{k^2}{(2\pi)^3\hbar c} \times L^3 \left| \left\langle \operatorname{atom}' + \gamma(\mathbf{k}, \lambda) \right| \hat{T} \left| \operatorname{atom} \right\rangle \right|^2,
\Gamma_{\text{total}} = \int d\Omega \sum_{\lambda} \frac{k^2}{(2\pi)^3\hbar c} \times L^3 \left| \left\langle \operatorname{atom}' + \gamma(\mathbf{k}, \lambda) \right| \hat{T} \left| \operatorname{atom} \right\rangle \right|^2.$$
(5)

In relativistic normalization of quantum states and matrix elements, there are no $L^{-3/2}$ factors but instead there are $\sqrt{2E}$ factors for each final-state or initial state particle, and

they must be compensated by dividing the density of states ρ by the $\prod_i (2E_i)$. Also, we must allow for motion of all the final-state particles (*i.e.*, both the photon and the recoiled atom) but impose the momentum conservation as a constraint. Thus, for a decay of 1 initial particle into n final particles,

$$\Gamma = \frac{1}{2E_{\rm in}} \int \frac{d^3 \mathbf{p}'_1}{(2\pi)^3 \, 2E'_1} \cdots \int \frac{d^3 \mathbf{p}'_n}{(2\pi)^3 \, 2E'_n} \left| \left\langle p'_1, \dots, p'_n \right| \, \mathcal{M} \left| p_{\rm in} \right\rangle \right|^2 \times (2\pi^4) \delta^{(4)}(p'_1 + \dots + p'_n - p_{\rm in}),$$
(6)

where the δ function takes care of both momentum conservation and of the denominator dE_f in the density-of-states factor (2). Likewise, the transition rate for a generic $2 \rightarrow n$ scattering process is given by

$$\Gamma = \frac{1}{2E_1 \times 2E_2} \int \frac{d^3 \mathbf{p}'_1}{(2\pi)^3 \, 2E'_1} \cdots \int \frac{d^3 \mathbf{p}'_n}{(2\pi)^3 \, 2E'_n} \left| \left\langle p'_1, \dots, p'_n \right| \mathcal{M} \left| p_1, p_2 \right\rangle \right|^2 \times (2\pi^4) \delta^{(4)}(p'_1 + \dots + p'_n - p_1 - p_2).$$
(7)

In terms of the scattering cross-section σ , the rate (7) is $\Gamma = \sigma \times \text{flux}$ of initial particles. In the large-box normalization the flux is $L^{-3}|\mathbf{v}_1 - \mathbf{v}_2|$, so in the continuum normalization it's simply the relative speed $|\mathbf{v}_1 - \mathbf{v}_2|$. Consequently, the total scattering cross-section is given by

$$\sigma_{\text{tot}} = \frac{1}{4E_1E_2|\mathbf{v}_1 - \mathbf{v}_2|} \int \frac{d^3\mathbf{p}'_1}{(2\pi)^3 \, 2E'_1} \cdots \int \frac{d^3\mathbf{p}'_n}{(2\pi)^3 \, 2E'_n} \left| \left\langle p'_1, \dots, p'_n \right| \mathcal{M} \left| p_1, p_2 \right\rangle \right|^2 \times (2\pi^4) \delta^{(4)}(p'_1 + \dots + p'_n - p_1 - p_2).$$
(8)

In particle physics, all the factors in eqs (6) or (8) besides the matrix elements — as well as the integrals over such factors — are collectively called the *phase space* factors.

A note on Lorentz invariance of decay rates or cross-sections. The matrix elements $\langle \text{final} | \mathcal{M} | \text{initial} \rangle$ are Lorentz invariant, and so are all the integrals over the final-particles' momenta and the δ -functions. The only non-invariant factor in the decay-rate formula (6) is the pre-integral $1/E_{\text{init}}$, hence the decay rate of a moving particle is

$$\Gamma(\text{moving}) = \Gamma(\text{rest frame}) \times \frac{M}{E}$$
 (9)

where M/E is precisely the time dilation factor in the moving frame.

As to the scattering cross-section, it should be invariant under Lorentz boosts along the initial axis of scattering, thus the same cross-section in any frame where $\mathbf{p}_1 \parallel \mathbf{p}_2$. This includes the *lab frame* where one of the two particles is initially at rest, the *center-of-mass frame* where $\mathbf{p}_1 + \mathbf{p}_2 = 0$, and any other frame where the two particles collide head-on. And indeed, the pre-integral factor in eq. (8) for the cross-section

$$\frac{1}{4E_1E_2|\mathbf{v}_1 - \mathbf{v}_2|} = \frac{1}{4|E_1\mathbf{p}_2 - E_2\mathbf{p}_1|}$$
(10)

is invariant under Lorentz boosts along the scattering axis.

Let's simplify eq. (8) for a 2 particle \rightarrow 2 particle scattering process in the center-of-mass frame where $\mathbf{p}_1 + \mathbf{p}_2 = 0$. In this frame, the pre-exponential factor (10) becomes

$$\frac{1}{4|\mathbf{p}| \times (E_1 + E_2)}\tag{11}$$

while the remaining phase space factors amount to

$$\mathcal{P}_{\text{int}} = \int \frac{d^3 \mathbf{p}'_1}{(2\pi)^3 2E'_1} \int \frac{d^3 \mathbf{p}'_2}{(2\pi)^3 2E'_2} (2\pi)^4 \delta^{(3)} (\mathbf{p}'_1 + \mathbf{p}'_2) \delta(E'_1 + E'_2 - E_{\text{net}})
= \int \frac{d^3 \mathbf{p}'_1}{(2\pi)^3 \times 2E'_1 \times 2E'_2} (2\pi) \delta(E'_1(\mathbf{p}'_1) + E'_2(-\mathbf{p}'_1) - E_{\text{net}})
= \int d^2 \Omega_{\mathbf{p}'} \times \int_0^\infty dp' \frac{p'^2}{16\pi^2 E'_1 E'_2} \times \delta(E'_1 + E'_2 - E_{\text{tot}})
= \int d^2 \Omega_{\mathbf{p}'} \left[\frac{p'^2}{16\pi^2 E'_1 E'_2} \left/ \frac{d(E'_1 + E'_2)}{dp'} \right]_{E'_1 + E'_2 = E_{\text{tot}}}^{\text{when}}.$$
(12)

On the last 3 lines here $E'_1 = E'_1(\mathbf{p}'_1) = \sqrt{p'^2 + m'^2_1}$ while $E'_2 = E'_2(\mathbf{p}'_2 = -\mathbf{p}'_1) = \sqrt{p'^2 + m'^2_2}$. Consequently,

$$\frac{dE'_1}{dp'} = \frac{p'}{E'_1}, \quad \frac{dE'_2}{dp'} = \frac{p'}{E'_2}, \tag{13}$$

hence

$$\frac{d(E'_1 + E'_2)}{dp'} = \frac{p'}{E'_1} + \frac{p'}{E'_2} = \frac{p'}{E'_1 E'_2} \times (E'_2 + E'_1 = E_{\text{tot}}),$$
(14)

and therefore

$$\mathcal{P}_{\text{int}} = \frac{1}{16\pi^2} \times \frac{p'}{E_{\text{tot}}} \times \int d^2 \Omega_{\mathbf{p}'} \,. \tag{15}$$

Including the pre-integral factor (11), we arrive at the net phase space factor

$$\mathcal{P} = \frac{p'}{p} \times \frac{1}{64\pi^2 E_{\text{tot}}^2} \times \int d^2 \Omega_{\mathbf{p}'} \,. \tag{16}$$

The matrix element \mathcal{M} for the scattering should be put inside the direction-angle integral in this phase-space formula. Thus, the total scattering cross-section is

$$\sigma_{\rm tot}(1+2\to 1'+2') = \frac{p'}{p} \times \frac{1}{64\pi^2 E_{\rm cm}^2} \times \int d^2 \Omega \left| \left\langle p_1' + p_2' \right| \mathcal{M} \left| p_1 + p_2 \right\rangle \right|^2, \quad (17)$$

while the partial cross-section for scattering in a particular direction is

$$\frac{d\sigma(1+2\to 1'+2')}{d\Omega_{\rm cm}} = \frac{p'}{p} \times \frac{1}{64\pi^2 E_{\rm cm}^2} \times \left| \left\langle p_1' + p_2' \right| \mathcal{M} \left| p_1 + p_2 \right\rangle \right|^2.$$
(18)

Note: the total cross-section is the same in frames where the initial momenta are collinear, but in the partial cross-section, $d\Omega$ depends on the frame of reference, so eq. (18) applies only in the center-of mass frame. Also, the $E_{\rm cm}$ factor in denominators of both formulae stands for the net energy in the center-of-mass frame. In frame-independent terms,

$$E_{\rm cm}^2 = (p_1 + p_2)^2 = (p_1' + p_2')^2 = s.$$
 (19)

Finally, let me write down the phase-space factor for a 2-body decay (1 particle \rightarrow 2 particles) in the rest frame of the initial particle. The under-the-integral factors for such a decay are the same as in eq. (15) for a 2 \rightarrow 2 scattering, but the pre-integral factor is $1/2M_{\text{init}}$ instead of the (11), thus

$$\mathcal{P} = \frac{p'}{32\pi^2 M^2},\tag{20}$$

meaning

$$\frac{d\Gamma(0 \to 1' + 2')}{d\Omega} = \frac{p'}{32\pi^2 M^2} \times \left| \left\langle p_1' + p_2' \right| \mathcal{M} \left| p_0 \right\rangle \right|^2, \tag{21}$$

$$\Gamma(0 \to 1' + 2') = \frac{p'}{32\pi^2 M^2} \times \int d^2 \Omega \left| \left\langle p'_1 + p'_2 \right| \mathcal{M} \left| p_0 \right\rangle \right|^2.$$
(22)