

1. This exercise is about the  $SO(3)$  group of rotations in three space dimensions.

- (a) A vector  $\mathbf{v}$  rotated through an infinitesimal angle  $d\alpha$  around axis  $\mathbf{n}$  becomes  $\mathbf{v}' = \mathbf{v} + (d\alpha)\mathbf{n} \times \mathbf{v}$ . Show that a rotation through a *finite* angle  $\alpha$  results in

$$\mathbf{v}' = \cos \alpha \mathbf{v} + \sin \alpha \mathbf{n} \times \mathbf{v} + (1 - \cos \alpha) \mathbf{n}(\mathbf{n} \cdot \mathbf{v}). \quad (1)$$

Hint:  $\mathbf{n} \times \mathbf{n} \times \mathbf{v} = -\mathbf{v} + \mathbf{n}(\mathbf{n} \cdot \mathbf{v})$  and hence  $\mathbf{n} \times \mathbf{n} \times \mathbf{n} \times \mathbf{v} = -\mathbf{n} \times \mathbf{v}$ .

- (b) Re-express formula (1) as  $v'_i = R_{ij}(\alpha, \mathbf{n})v_j$  and write down the explicit form of the rotation matrix  $R_{ij}(\mathbf{n}, \alpha)$  and show that it is an  $SO(3)$  matrix — real, orthogonal  $3 \times 3$  matrix with determinant  $\det(R) = +1$ . (Orthogonality is the real-number analogue of unitarity: An orthogonal matrix satisfies  $R \circ R^\top = R^{-1} \circ R = 1$ .)

- (c) Optional exercise: Show that any  $SO(3)$  matrix  $R$  is a rotation matrix  $R(\alpha, \mathbf{n})$  for some angle  $\alpha$  and some axis  $\mathbf{n}$ .

Hint: Show that an  $SO(3)$  matrix has eigenvalues  $(e^{+i\alpha}, e^{-i\alpha}, +1)$ , then identify  $\alpha$  as the rotation angle and the eigenvector for the  $+1$  eigenvalues as the axis of rotations.

In the  $SO(3)$  matrix language, the multiplication law for successive rotations is simply the matrix product  $R_3 = R_2 \circ R_1$ , or in index terms  $R_{ik}^{(3)} = R_{ij}^{(2)} R_{jk}^{(1)}$ . Thus, if we first rotate through angle  $\alpha_1$  around axis  $\mathbf{n}_1$  and then rotate through angle  $\alpha_2$  around axis  $\mathbf{n}_2$ , then the net effect is the  $SO(3)$  matrix

$$R(\alpha_2, \mathbf{n}_2) \circ R(\alpha_1, \mathbf{n}_1) = R_3 = R(\alpha_3, \mathbf{n}_3) \quad \text{for some } \alpha_3 \text{ and } \mathbf{n}_3, \quad (2)$$

where the second equality follows from part (c). Alas, calculating the net rotation's angle  $\alpha_3$  and axis  $\mathbf{n}_3$  directly from this formula is painfully tedious.

Instead, there is a simpler Cayley–Klein method; originally, it involved [quaternions](#), but later was rephrased in terms of the  $SU(2)$  matrices, — *i.e.*, complex unitary  $2 \times 2$  matrices of unit determinant. Here is how it works: For any rotation  $R(\alpha, \mathbf{n})$ , let's define an  $SU(2)$  matrix

$$Q(\alpha, \mathbf{n}) = \exp\left(-i\frac{\alpha}{2} \mathbf{n} \cdot \vec{\sigma}\right) = \cos \frac{\alpha}{2} - i \sin \frac{\alpha}{2} \mathbf{n} \cdot \vec{\sigma}. \quad (3)$$

where  $\sigma_x, \sigma_y, \sigma_z$  are Pauli matrices, *cf.* [homework set#3](#) (problem#1).

(d) Show that

$$Q^\dagger(\alpha, \mathbf{n}) \sigma_i Q(\alpha, \mathbf{n}) = R_{ij}(\alpha, \mathbf{n}) \sigma_j. \quad (4)$$

(e) Now suppose the angles  $\alpha_{1,2,3}$  and the unit vectors  $\mathbf{n}_{1,2,3}$  satisfy the Cayley–Klein equation

$$Q(\mathbf{n}_3, \alpha_3) = Q(\mathbf{n}_2, \alpha_2) Q(\mathbf{n}_1, \alpha_1). \quad (5)$$

Use eq. (4) to show that the corresponding  $3 \times 3$  rotation matrices satisfy eq. (2).

(f) Finally, solve the Cayley-Klein equation (5) for the  $(\mathbf{n}_3, \alpha_3)$  in terms of the  $(\mathbf{n}_2, \alpha_2)$  and the  $(\mathbf{n}_1, \alpha_1)$ .

2. In the Heisenberg picture of the rotational symmetries, a rotation through angle  $\alpha$  around axis  $\mathbf{n}$  transforms an operator  $\hat{A}$  into

$$\hat{A}' = \hat{\mathcal{R}}^\dagger(\mathbf{n}, \alpha) \hat{A} \hat{\mathcal{R}}(\mathbf{n}, \alpha). \quad (6)$$

Consequently, a scalar operator  $\hat{S}$  must be invariant under all rotations,

$$\hat{\mathcal{R}}^\dagger(\mathbf{n}, \alpha) \hat{S} \hat{\mathcal{R}}(\mathbf{n}, \alpha) = \hat{S}, \quad (7)$$

while the 3 components  $(\hat{V}_x, \hat{V}_y, \hat{V}_z)$  of a vector operator  $\hat{\mathbf{V}}$  transform into each other as

$$\hat{\mathcal{R}}^\dagger(\mathbf{n}, \alpha) \hat{V}_i \hat{\mathcal{R}}(\mathbf{n}, \alpha) = R_{ij}(\mathbf{n}, \alpha) \hat{V}_j. \quad (8)$$

If fact, eqs. (7) is a definition of a scalar operator while eq. (8) is a definition of a vector operator.

These definitions of scalar and vector operators can be restated in terms of commutation relations with the angular momentum operators  $\hat{J}_{x,y,z}$ :

$$\hat{S} \text{ is a scalar iff } [\hat{S}, \hat{J}_i] = 0, \quad (9)$$

$$\hat{\mathbf{V}} \text{ is a vector iff } [\hat{V}_i, \hat{J}_j] = i\hbar \epsilon_{ijk} \hat{V}_k. \quad (10)$$

(a) Show that eqs. (7) and (9) for a scalar operator are equivalent to each other: if  $\hat{S}$  remains invariant under all rotations as in eq. (7) then it must commute with the  $\hat{J}_{x,y,z}$ , and conversely if  $\hat{S}$  commutes with all 3  $\hat{J}_{x,y,z}$  then it's invariant under all rotations.

For the vector operators, eqs. (8) and (10) are also equivalent to each other, but this takes a bit more work to prove. In particular, we need the Baker–Hausdorff lemma: For any two operators  $\hat{B}$  and  $\hat{C}$ ,

$$e^{\hat{B}}\hat{C}e^{-\hat{B}} = \hat{C} + [\hat{B}, \hat{C}] + \frac{1}{2}[\hat{B}, [\hat{B}, \hat{C}]] + \cdots + \frac{1}{n!}[\hat{B}, [\hat{B}, \dots, [\hat{B}, \hat{C}] \dots]]_n + \cdots \quad (11)$$

(b) Prove this lemma. Hint: Let  $\hat{C}_\lambda = e^{\lambda\hat{B}}\hat{C}e^{-\lambda\hat{B}}$ , show that  $\frac{d}{d\lambda}\hat{C}_\lambda = [\hat{B}, \hat{C}_\lambda]$ , iterate for the higher derivatives, and then expand into a series in powers of  $\lambda$ .

(c) Show that if the 3 components  $\hat{V}_i$  of a vector operator  $\hat{\mathbf{V}}$  transform into each other under space rotations according to eq. (8), then their commutation relations with the angular momentum components should be as in eq. (10). Hint: consider infinitesimally small  $\alpha$  and work to the first order in  $\alpha$ .

(d) Now, suppose three component operators  $\hat{V}_{x,y,z}$  satisfy the commutation relations (10). Use the Baker-Hausdorff lemma to verify eq. (8) for any finite rotation.

Hint:  $\hat{\mathcal{R}}(\mathbf{n}, \alpha) = \exp(-i\frac{\alpha}{\hbar}\mathbf{n} \cdot \hat{\mathbf{J}})$ .

Note that for spin-half system with no other degrees of freedom,  $\hat{\mathbf{J}}$  is simply the spin  $\hat{\mathbf{S}} = \frac{\hbar}{2}\vec{\sigma}$  and hence  $\hat{\mathcal{R}}(\mathbf{n}, \alpha) = U(\mathbf{n}, \alpha)$  (*cf.* eq. (3)). Thus, eq. (4) is simply a special case of the general formula (8).

(e) Finally, consider the tensor operators. By definition, the component operators  $\hat{T}_{i_1, i_2, \dots, i_n}$  form an  $n$ -index tensor operator if and only if for any rotation,

$$\hat{\mathcal{R}}^\dagger \hat{T}_{i_1, i_2, \dots, i_n} \hat{\mathcal{R}} = R_{i_1 j_1} R_{i_2 j_2} \cdots R_{i_n j_n} \hat{T}_{j_1, j_2, \dots, j_n} \quad (12)$$

Show that  $\hat{T}_{i_1, i_2, \dots, i_n}$  form a tensor if and only if

$$[\hat{T}_{i_1, i_2, \dots, i_n}, \hat{J}_j] = i\hbar\epsilon_{i_1 j k} \hat{T}_{k, i_2, \dots, i_n} + \cdots + i\hbar\epsilon_{i_n j k} \hat{T}_{i_1, \dots, i_{n-1}, k} \quad (13)$$

(f) Use  $RR^\top = 1$  and  $\det(R) = 1$  to show that for any two-index tensor operator  $\hat{A}_{ij}$ ,  $\hat{B} = \delta_{ij}\hat{A}_{ij}$  is a scalar and  $\hat{C}_i = \epsilon_{ijk}\hat{A}_{jk}$  is a vector. Then use these facts to show that for any two vector operators  $\hat{\mathbf{F}}$  and  $\hat{\mathbf{G}}$  — regardless of whether they commute with each other or not, — the dot product  $\hat{\mathbf{F}} \cdot \hat{\mathbf{G}}$  is a scalar operator and the cross product  $\hat{\mathbf{F}} \times \hat{\mathbf{G}}$  is a vector operator.